

SEC/FILING/BSE-NSE/2022-23/68A-B

Date: 03/01/2023

To,

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra- Kurla Complex,
Bandra (East), Mumbai - 400 051
Scrip Code : SHRIRAMFIN

BSE Limited
P. J. Towers,
Dalal Street,
Fort, Mumbai - 400 001
Scrip Code : 511218

Dear Sirs,

Sub: Compliance under Regulation 39 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We have received the letters from the following shareholders informing about loss of physical share certificates but without mentioning the details of shares such as Distinctive Nos., certificate Nos. etc. of the Company. A photo copy of the documents alongwith proof of the date of receipt is enclosed herewith.

As per the documents received from shareholders and from our records we notice that shareholders are holding the shares as per the details given below:-

Folio Nos.	Name of the Shareholders	Cert. Nos.	Distinctive Nos.		No. of Shares held	Received on
			From	To		
39315	M Seetha Lakshmi Ganapathy K	131857	5754711	5754760	50	02/01/2023
		131860	5754861	5754910	50	
23896	Thakorbhai Desai Hemantbhai Desai	60831	2737546	2737595	50	02/01/2023
		100831	4462546	4462595	50	

Please note that Duplicate share certificates shall be issued only on receipt of complete documents from the shareholders to the satisfaction of the RTA and the Company including completion of procedural requirements in this regard.

Thanking you,
Yours faithfully,
for Shriram Finance Limited

U Balasundararao
Compliance Officer

Encl : A/a

Shriram Finance Limited

(Formerly known as Shriram Transport Finance Company Limited)

Corporate Office : Wockhardt Towers, Level - III, West Wing, C-2, G-Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051, Maharashtra. Ph: +91 22 4095 9595

Registered Office: Sri Towers, Plot No.14A, South Phase, Industrial Estate, Guindy, Chennai - 600 032. Tamil Nadu, India. Ph: +91 44 4852 4666

Website : www.shriramfinance.in | Corporate Identity Number (CIN) - L65191TN1979PLC007874

Hemant Chhotubhai Desai
A-6 103 Geopel Park Society
opp Swami Vivekanand Garden
Chuddod Road, SURAT 395001
E-mail: DESAIHC@GMAIL.COM
Mob No: +91 93270 04491
Date: 20.12.2022

To,

INTEGRATED REG. MANAGEMENT SERVICES PVT LTD

Unit: Shriram Finance Ltd

2nd FLOOR, KANCES TOWERS

NO. 1 RAMAKRISHNA STREET

NORTH USMAN ROAD, T. NALGAR

CHENNAI - 600017

Dear Sir/Madam,

Ref: YOUR EMAIL DATED 17.12.2022, 1.53 PM Regarding folio
No 23896

Sub: Service request

With reference to above mentioned, I/we the undersigned seeks following service request.

- (1) Apply for transmission procedure Deletion of name/Submission of death certificate
- (2) Change of name (Minor correction)
- (3) Revalidation of unpaid D/Ws.
- (4) Submission of ISR 1, 2, 4, & 13
- (5) Lost of share certificate & issue me/us procedure for issue duplicate share certificate
- (6) Non receipt of Bonus shares _____ or splits shae certificate of Rs. _____ paid up.
- (7) Change of signature/address
- (8) Submission of Affidavit, Indemnity, CML & address proof for issuance of duplicate S/CS

Thanks & Regards.

Yours Faithfully

Hemant Chhotubhai Desai
Hemant Chhotubhai Desai

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.				
CHENNAI-800 017.				
RECEIVED ON				
ORD Post	- 2 JAN 2013			
Regd.	Speed	N.D.	Co. No.	Page

BY SPEED POST

Outsourcing
Business Solutions
IT Services
Experience certainty.

22-12-2022

FROM

MR. SANAKATHY. K.

2/5, JUPITER DHS

SECTOR-4, VASHI,

NEW MUMBAI - 400703.

(MOB: 9757108890).

TO

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.
(CUSTOMER SERVICE DIVISION)

2ND FLOOR, KENCES TOWERS

No.1, RAMAKRISHNA STREET,

NORTH USMAN ROAD, T. NAGAR,

CHENNAI - 600017.

(PAN No: 044-28140801).

DEAR SIR / MADAM,

UNITY SHRI RAM TRANSPORT FINANCE CO. LTD.

SUB: LOSS CUM TRANSMISSION OF SHARES TO WHOLDERS

REF: FOLIO NO: 39315, CLIENT ID NO: 18745761

YOUR REF. NO: STF/LOSS CUM TRANS/2022/28281

I REFER TO YOUR LETTER DATED 02-07-2022 AND WOULD

LIKE TO INFORM YOU THAT I WAS UNEXPECTEDLY ADMITTED
IN HOSPITAL AND WAS NOT IN MUMBAI FOR MORE THAN
2 1/2 MONTHS, AND HENCE COULD NOT REPLY OR RECOGNISE
THE DOCUMENTS. AS PER YOUR SUGGESTIONS & NOTINGS,
I NOW HAVE DONE THE AFFIDAVIT & INDEMNITY FOR
ISSUANCE OF DUPLICATE SHARE CERTIFICATE IN A NGA
GOVT. OF INDIA NON-JUDICIAL STAMP PAPER (Rs. 200/- EACH).
THE SAME HAS BEEN FULLY AUTHORIZED & NOTARISED BY THE
NOTARY.

AS PER YOUR SUGGESTION NO.1, I HAVE SIGNED ALL THE
PAGES OF INDEMNITY & AFFIDAVIT.

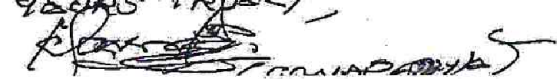
SUGGESTION NO. 2 - INDEMNITY WITNESS PERSON NAME & WITNESSED
AND PROOF (AADHAR CARD & PAN CARD - XEROX COPIES) SELF ATTESTED
ALONG WITH THE DATE IS ATTACHED HERE WITH.

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.				
CHENNAI - 600 017.				
RECEIVED ON				
ORD Post	- 2 JAN 2003			
Regd.	Speed	R.D.	Ch.	ST

SUGGESTION-3; TRANSMISSION APPLICATION FORM, MY NAME, ADDRESS, COMPANY NAME, FOLIO NO. ETC ARE MENTIONED AS I DO NOT KNOW THE DISTINCTIVE NO, THE SAME HAS NOT BEEN MENTIONED EITHER IN THE AFFIDAVIT OR INDEMNITY & TRANSMISSION FORM.

ON 28-12-2022 WITH REGARDS TO THE ABOVE I HAVE SENT A MAIL ALONG WITH SCANNING ALL THE DOCUMENTS FOR YOUR PERUSAL AND VERIFICATION. SINCE I DIDN'T GET REPLY, I AM SENDING HEREWITH ALL THE DOCUMENTS FOR YOU TO DO THE NECESSARY ATTACHMENTS

1. AFFIDAVIT ON NON JUDICIAL STAMP PAPER
 2. INDEMNITY ON NON JUDICIAL STAMP PAPER
 3. COPY OF DEATH CERTIFICATE
 4. AADHAR & PAN CARD XEROX COPY OF 2 WITNESSES
 5. FORM ISR-1 DULY FILLED IN, SIGNED BY ME ALONG WITH NECESSARY ATTS
 6. FORM NO ISR-2 DULY FILLED IN, SIGNED & ATTESTED BY THE BANKER
 7. NOMINATION FORM - SH-13 DULY FILLED & SIGNED BY ME WITH THE IDENTITY AND ADDRESS PROOF OF NOMINEE
 8. SELF ATTESTED COPY OF PAN CARD & AADHAR AS ADDRESS PROOF OF ME WITH DATE
 9. ORIGINAL CANCELLED PERSONALISED CHEQUE / BANK ATTESTED COPY OF PASS BOOK IN MY NAME
 10. COPY OF BANK STATEMENT REFLECTING THE ENCASHMENT OF DIVIDEND
 11. APPLICATION FORM FOR TRANSMISSION OF SHARES
 12. FORM ISR 4 DULY FILLED & SIGNED BY ME
 13. CLIENT MASTER LIST COPY OF MY DEMAT A/C IN 301213 / 8023 2718 DULY ATTESTED BY INTEGRATED ENTERPRISES Q) P. LTD, VASBI, NAVMUNDE
 14. MY SECURITY HOLDING STATEMENT WITH INTEGRATED ENTERPRISES VAD E DEMAT A/C NO IN 300757 / 1000415 - TRADING A/C - COMB G-18 - (IN MY NAME)
- HOPE YOU WILL THE ABOVE IN ORDER & REQUEST YOU TO DO THE NECESSARY FOR BY TRANSFERRING THE SHARE TO MY ACCOUNT IN 301213 / 8023 2718

THANKING YOU,
YOURS TRULY,


ENCL: ATTACHMENTS