

SEC/FILING/BSE-NSE/2022-23/68A-B

Date: 01/02/2023

To,

 National Stock Exchange of India Limited
 Exchange Plaza, 5th Floor,
 Plot No. C/1, G Block,
 Bandra- Kurla Complex,
 Bandra (East), Mumbai - 400 051
 Scrip Code : SHRIRAMFIN

 BSE Limited
 P. J. Towers,
 Dalal Street,
 Fort, Mumbai - 400 001
 Scrip Code : 511218

Dear Sirs,

Sub: Compliance under Regulation 39 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We have received the letters from the following shareholders informing about loss of physical share certificates. A photo copy of the documents alongwith proof of the date of receipt is enclosed herewith.

As per the documents received from shareholders and from our records we notice that shareholders are holding the shares as per the details given below:-

Folio Nos.	Name of the Shareholders	Cert. Nos.		Distinctive Nos. (Both inclusive)		No. of Shares held	Received on
				From	To		
74047	Kalavathi	603485		67103461	67103910	450	01/02/2023
SCF 9460	Arvind Kshatriya	31358		5920801	5920900	100	01/02/2023
		50964		6488408	6488427	20	
		50965		6488428	6488432	5	
65	Chetna Mehta	10105	10107	1799351	1799600	250	01/02/2023
	Dhruv Mehta	10715	10717	3686916	3687165	250	
		33743	33745	6018888	6019012	125	

Please note that Duplicate share certificates shall be issued only on receipt of complete documents from the shareholder(s) to the satisfaction of the RTA and the Company including completion of procedural requirements in this regard.

Thanking you,
 Yours faithfully,
for Shriram Finance Limited

U Balasundararao
Compliance Officer

Encl : A/a

From
Kalavathi. S
2-114, West street,
Kethiramangalam
Enangudi
Nagapattinam-609701
Mob : 9961683989

23.01.2023

To:
Integrated Registry Management Services private limited
2nd floor, Kences Towers, No. 1, Ramakrishna street
North Usman road,
T Nagar, Chennai 600017

Dear sir,

SUB : Requesting for Duplicate share certificate

Ref : Folio no. 74047

With reference to the above, I am holding Physical shares of shriram transport Finance co. limited as per following details

Name : Mrs. Kalavathi

Regd folio no : 74047

Share certificate number : 603485

No. Of shares : 450

Distinctive nos. 67103461 to 67103910

The above Original share certificate was misplaced during the process of conversion to demat shares. Hence I request you to kindly send us duplicate share certificate for conversion of the above physical share to demat at the earliest. Hereby I attach copy of share certificate and copy of aadhar and Pan for your reference.

Thanking you

S. Kalavathi
Kalavathi.S



Sriram Transport Finance Company Limited

(Incorporated under the Companies Act, 1956)

Registered Office: 123, Angappa Nalcken Street, Chennai - 600 001



SHARE CERTIFICATE

THIS IS TO CERTIFY that the person(s) named in this Certificate is/are the Registered Holder(s) of the within mentioned share(s) bearing the distinctive number(s) herein specified in the above Company subject to the Memorandum and Articles of Association of the Company and that the amount endorsed hereon has been paid up on each such share.

**EQUITY SHARES EACH OF RUPEES 10/-
AMOUNT PAID UP PER SHARE RUPEES 10/-**

Regd. Folio No. **74047**

Share Certificate No. **603485**

Name(s) of Holder(s) **KALAVATHI**

**SURRENDERED FOR DEMATERIALISATION
DP NAME: SBICAP SECURITIES LIMITED
DP ID: IN305134 CLIENT ID: 1192979**

No. of Shares held **FOUR HUNDRED FIFTY ONLY**

(450)**

Distinctive No(s)

67103461 TO 67103910

Given under the Common Seal of the Company this **23RD DAY OF DECEMBER, 2005**

[ISSUED CONSEQUENT TO AMALGAMATION OF SIL]



R. Latha

Managing Director

Lis

Director

Syfy
Company Secretary / Authorised Signatory

4197 / K04390

Consolidated stamp duty paid

No transfer of any portion of the shares comprised in this certificate can be registered unless accompanied by this certificate.

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.
CHENNAI - 600 017.
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Regd.	Speed	H.D	Courier	Company

Regd A/D

20th Jan 2023

To,

M/s. Integrated Registry Management Services Pvt Ltd.
UNIT - Shriram Finance Ltd.

2nd Floor Kences Towers No. 1 Ramkrishna Street,
North Usman Road, T. Nagar Chennai 600017.

Sub - For getting shares of Shri Ram Finance Ltd.

Ref 1 My folio No. 9460

2 No. of shares 125 (Shriram City Union Finance Ltd)

3 STFC MERG 22 PHY ENVL 126.

Dear Sir,

With ref. to above subject & reference I was holding 125 shares of Shriram City Union Finance Ltd.

As I was in transferable job in my service in M.S. Electricity Board (Now MSEDCL) my Certificate of Shares were misplaced or might have been lost. I am regularly getting Dividend from your Co. Now I request you to please give me your valued guidance & process, how to get my shares vide folio No. 9460.

For your ready ref. I am hereby submitting Zero Copy of Dividend receipts.

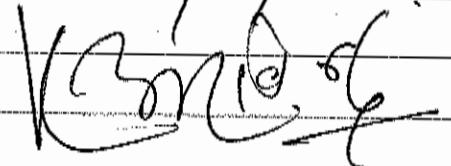
End.

Zero Copy of some dividend warrants & other documents.

Copy Submitted to
Shriram Finance Ltd.

Mumbai
For necessary guidance.

Sincerely yours



Arvind Tarachand Kshatriya.
Father's Name Tarachand Kshatriya.
5-Vannala Apartment
Munshi Galli Kille Road
MAHAL. NASPUR.

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Form ISR – 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

21st January 2023

A. I / We request you to Register / Change / Update the following (Tick relevant box)

<input checked="" type="checkbox"/> PAN	<input checked="" type="checkbox"/> Postal Address
<input checked="" type="checkbox"/> Bank details	<input checked="" type="checkbox"/> E-mail address
<input checked="" type="checkbox"/> Signature	<input checked="" type="checkbox"/> Mobile number
Demat Account details	

B. Security Details:

Name of the Issuer Company	Shriram Finance Ltd	Folio No.: SCF65
Name(s) of the Security holder(s) as per the Certificate(s)	1. CHETNA L MEHTA 2. DHRUV LALIT MEHTA 3.	
Number & Face value of securities	968 Shares Face Value Rs.10	
Distinctive number of securities	From	To

C. I / We are submitting documents as per Table below (tick as relevant, refer to the instructions):

<input checked="" type="checkbox"/>	Document / Information / Details	Instruction / Remark
1	PAN of (all) the (joint) holder(s)	
	PAN Whether it is Valid (linked to Aadhaar): <input type="checkbox"/> Yes <input type="checkbox"/> No	APEPM9115R, ACUPM4211F PAN shall be valid only if it is linked to Aadhaar by March 31, 2022* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4

2	Demat Account Number	1201090026195451 Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.
3	Proof of Address of the first holder	Provide any one of the documents, only if there is change in the address; <ul style="list-style-type: none"> • Client Master List (CML) of your Demat Account, provided by the Depository Participant • Valid Passport/ Ration Card/ Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill. • Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. • Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. • For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. • The proof of address in the name of the spouse
4	Bank details	Provide the copy of the bank statement with details of bank name, branch, account number and IFS Code or copy of cheque leaf. Alternatively, Bank details available in the CML will be updated in the folio.
5	E-mail address	<u>ARTANDCHETNA@GMAIL.COM</u> Alternatively the e-mail address available in the CML will be updated in the folio
6	Mobile	<u>9833424521</u> Alternatively the mobile number available in the CML will be updated in the folio
7	Specimen Signature	<ul style="list-style-type: none"> • Provide banker's attestation of the signature of the holder(s) as per <u>Form ISR - 2</u> in <u>SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 dated November 03, 2021</u>) and • Original cancelled cheque
8	Nomination**	<ul style="list-style-type: none"> • Providing Nomination: Please submit the duly filled up Nomination Form (<u>SH-13</u>) or 'Declaration to Opt out of Nomination' as per <u>Form ISR-3</u>, in <u>SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 dated November 03, 2021</u> • Change in Existing Nomination: Please use <u>Form SH-14</u> in <u>SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655</u> • Cancellation of Existing Nomination: Please use <u>Form SH-14</u> and <u>Form ISR - 3</u>

* or any date as may be specified by the CBDT

** Nomination (Form SH-13 or SH-14) / 'Declaration to Opt-Out of nomination' (Form ISR - 3), has to furnished by the holder(s) separately for each listed company.

3

Mode of submission of documents to the RTA

Please use any one of the following mode;



1. In Person Verification (IPV): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

- It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios).
- Upon receipt or up-dation of bank details, the RTA automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful.
- RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA.
- RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up-dating / changing PAN, KYC details and Nomination.

Authorization: I / We authorize you (RTA) to update the above PAN and KYC details in my / our folio SCF65 in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	Holder 1	Holder 2	Holder 3
Signature	✓ 	✓ 	✓
Name	CHETNA L MEHTA	DHRUV LALIT MEHTA	✓
Full postal address	D-34, VENUS CO-Op HSG SOC, Dr. R G THANDANI MARG, WORLI SEAFACE, MUMBAI	801, SHEEL MARIE, 11 th FLOOR, 25, ABDUL GAFFAR KHAN ROAD, WORLI SEAFACE, WORLI-MUMBAI	
PIN	400018	400018	

(Page 4 is for information to investors; print out of the same is not needed.)

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