



**SHIV AUM STEELS**  
LIMITED

Date: - 14/01/2022

To,  
The Manager,  
Listing Department,  
The National Stock Exchange of India Ltd,  
'Exchange Plaza', C-1 Block 'G',  
Bandra Kurla Complex, Bandra (E ),  
Mumbai -400051.

Scrip Code: SHIVAUM  
ISIN: INE719F01016

Subject: - Submission of Regulation 76 of the SEBI (Depositories and Participants), Regulations, 2018 for Reconciliation of Share Capital Audit

SEBI Dear Sir,

This is in reference to Reconciliation of Share Capital Audit Report for equity share capital under regulation 76 of the SEBI (Depositories and Participants), Regulations, 2018, in this regard we hereby submit Reconciliation of Share Capital Audit Report in PDF Format of the Company for the quarter ended 31<sup>st</sup> December 2021.

This is for your kind information and record.

Thanking You

Yours faithfully

**For Shiv Aum Steels Limited**

*BS*



**Utsav Sanjay Bansal**  
**Director**  
**DIN: 03130373**

GSTIN : 27AAFCS9987G1ZL

CIN NO : L27105MH2002PLC135117



# SANDEEP DUBEY & ASSOCIATES

(PRACTICING COMPANY SECRETARIES)

REGD. Address: Unite No. 3133 Ezazone Mall, Sundar Nagar,  
Malad West, Mumbai – 400061.

MOB. NO. 98201513552 / 9819992728 Email: [cs.sandeepdubey@gmail.com](mailto:cs.sandeepdubey@gmail.com)

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To,

**The Board of Directors**

**M/s. Shiv Aum Steels Limited**

Office No.515, The Summit Business Bay

Opp. Cinemax, WEH Metro Station,

Andheri East, Mumbai-93.

Subject: Reconciliation of Share Capital Audit Report under Regulation 76 of SEBI (Depositories and Participants) Regulations 2018.

We have examined the Register of Members, beneficiary details furnished by the Depositories and other records/documents maintained by **M/s. Shiv Aum Steels Limited** (hereinafter referred to as (“the Company”) and its Registrar and Share Transfer Agents, **M/s Skyline Financial Services Private Limited**, for issuing this certificate, in accordance with Circular D&CC/FITTC/CIR-16/2002 dated December 31, 2002 issued by the Securities and Exchange Board of India and also pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations 2018.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary by us, we hereby certify the Reconciliation of Share Capital Audit Report in the prescribed format as under:

1.	For Quarter ended	<b>December 31, 2021</b>
2.	ISIN	<b>INE719F01016</b>
3.	Face Value	<b>Rs.10/-</b>
4.	Name of the Company	<b>M/s. Shiv Aum Steels Limited</b>
5.	Registered office Address	<b>Shiv Aum Steels Limited</b> Office No.515, The Summit Business Bay Opp. Cinemax, WEH Metro Station, Andheri East, Mumbai-400093.

6.	Correspondence Address	<b>Shiv Aum Steels Limited</b> Office No.515, The Summit Business Bay Opp. Cinemax, WEH Metro Station, Andheri East, Mumbai-400093.
7.	Telephone & Fax No.	Tel.: (022)-26827900 Fax.: (022)-26827899
8.	Email Address	<a href="mailto:info@shivaumsteels.com">info@shivaumsteels.com</a>
9.	Name of the Stock Exchanges where the Company's securities are listed	<b>EMERGE Platform of NSE</b>

		<b>Number of Equity Shares</b>
10.	Issued Capital	13600400

		<b>Number of Shares</b>	<b>% of Total Issued Capital</b>
11.	Listed Capital (Exchange-wise)		
	EMERGE Platform of NSE	13600400	100

		<b>Number of Shares</b>	<b>% of Total Issued Capital</b>
12.	Held in dematerialized form in CDSL	3522300	25.90
13.	Held in dematerialized form in NSDL	10078100	74.10
14.	Physical	0	0
15.	Total No. of Shares (12+13+14)	<b>1,36,00,400</b>	<b>100</b>

16.	Reasons for difference if any, between (10&11) (10&15), (11&15)	<b>N.A.</b>
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17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below:					
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Particulars of changes in share capital	No. of Shares	Applied/ Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principal approval pending for Stock Exchanges (Specify Names)

	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>	<b>N.A.</b>
18.	Register of Members is updated (Yes/No)			<b>Yes</b>		
	If not, updated upto which date			<b>Not Applicable</b>		

19.	Reference of previous quarter with regards to excess dematerialized shares, if any.	<b>Not Applicable</b>
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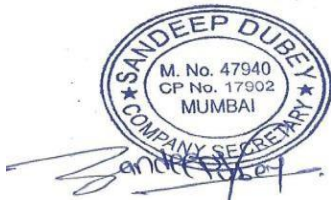
20.	Has the Company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?	<b>Not Applicable</b>
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21.	The total number of requests, if any, confirmed/rejected after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay			
	Total No. of demat requests	No. of requests	No. of Shares	Reasons for delay
	Confirmed/rejected after 21 days	<b>NIL</b>	<b>NIL</b>	<b>N.A.</b>
	Pending for more than 21 days	<b>NIL</b>	<b>NIL</b>	<b>N.A.</b>

22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	<b>Ms. Dhvani Vora</b> <b>Tel No.: (022)-26827900</b> <b>Fax No.: (022)-26827899</b>
23.	Name, Address, Tel & Fax No., Regn. No. of the Auditor	<b>Mr. Sandeep Dubey</b> <b>M/s. Sandeep Dubey &amp; Associates</b> <b>Practicing Company Secretaries</b> Office No.3133, Ezazone Mall, Sundar Nagar, Malad West, Mumbai- 400061.  <b>Tel.: +91 9820513552</b> <b>Email: <a href="mailto:cs.sandeepdubey@gmail.com">cs.sandeepdubey@gmail.com</a></b>  <b>ACS No. 47940</b> <b>COP No.17902</b>

24.	Appointment of Common agency for share registry work	<b>Skyline Financial Services Private Limited.</b> <b>ADD: A/505, Dattani Plaza,</b> <b>AndheriKurla Road, Safed Pool,</b> <b>Mumbai-72.</b> <b>Tel.: (022) -62215779</b> <b>Email:<a href="mailto:subhashdhingreja@skylinerta.com">subhashdhingreja@skylinerta.com</a></b>
25.	Any other Detail that the auditor may like to provide (e.g. BIFR Company, delisting from Stock Exchanges, company changed its name etc.)	N.A

**For Sandeep Dubey & Associates**  
**(Practicing company Secretaries)**



**CS SandeepDubey**

**Partner**

**ACS No. :47940**

**COP No.:17902**

**UDIN: A047940C002169466**

**Place: Mumbai**

**Date: 14-01-2022**