



SHIV AUM STEELS
LIMITED

12th April, 2023

To,
The Manager,
Listing Department,
The National Stock Exchange of India Ltd,
'Exchange Plaza', C-1 Block 'G',
Bandra Kurla Complex, Bandra (E),
Mumbai -400051

Subject: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023

Ref: Symbol – SHIVAUM

Dear Sir/Madam,

We are enclosing herewith the Compliance Certificate as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023 duly issued by M Rupareliya & Associates, Company Secretaries, Rajkot.

You are requested to kindly take the above on record and oblige.

Thanking You,
Yours faithfully,

For SHIV AUM STEELS LIMITED,

SANJAY N BANSAL
DIRECTOR
DIN:00235509





M. Rupareliya & Associates
Practising Company Secretary

**CERTIFICATE UNDER CLAUSE 40(9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

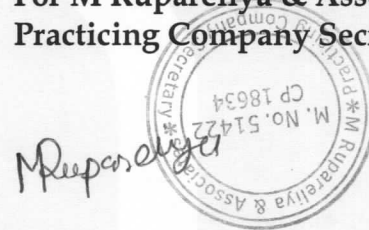
For the Year Ended 31st March, 2023

I have Examined all the Share Transfer Deeds, Memorandum of Transfers, Registers and other documents related to "*M/s. Shiv Aum Steels Limited*" maintained by *Skyline Financial Services Private Limited* pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Clause 40(9) of Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by Company, I hereby certify that during half year ended on 31st March, 2023 :

- A) No Share Transfer Requests were received during the period from 1st April, 2022 to 31st March, 2023.
- B) There were no requests for exchange of duplicate and split certificates, renewal, consolidation during the period from 1st April, 2022 to 31st March, 2023.

Date: 12th April, 2023
Place: Rajkot

For M Rupareliya & Associates
Practicing Company Secretaries



CS Mayuri Rupareliya
ACS: A51422
COP:18634
UDIN: A051422E000069616
Peer Review: 2017/2022