

August 29, 2023

To,
The Department of Corporate Services
BSE Limited
P J Towers, Dalal Street Fort,
Mumbai- 400 001
SCRIP CODE: 540725

To,
The Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block-G,
Bandra-Kurla Complex, Bandra (E)
Mumbai- 400 051
SYMBOL: SHAREINDIA

Sub: Disclosure under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), read with Para A of Part A to Schedule III of the SEBI Listing Regulations, please be informed that National Commodity & Derivatives Exchange Limited has imposed a monetary penalty of Rs. 36,636/- (Rupees Thirty-Six Thousand Six Hundred Thirty-Six Only) on our Company on August 21, 2023 w.r.t. the violation in execution of trades in the COCUDAKL Dec 2023 contract in a client account, which were determined to be matched trades and subsequently reversed due to a substantial price difference.

The disclosure is being made beyond the stipulated time as internal review took longer than expected because trades were done in client account and discussions were in process to ensure that there was no error in communicating the penalty amount.

It may be noted that the order does not impact existing business or any activity of the Company. The Company is evaluating various options available to in respect of the order. The Company has always strived to and carried out its business in compliance with extant laws and regulations and will keep on upgrading its internal control mechanisms in line with regulatory expectations.

Furthermore, attached to this correspondence, please find Annexure A, which provides the details as required by sub-para 20 of Para A of Part A of Schedule III.

You are requested to take the above information on your records.

Thanking You.

Yours Faithfully,

FOR SHARE INDIA SECURITIES LIMITED

Vikas
Aggarwal
Vikas Aggarwal
Company Secretary & Compliance Officer
M. No. F5512

Digitally signed by Vikas
Aggarwal
Date: 2023.08.29 12:18:03
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Annexure – A

S. No.	Particulars	Remarks
1.	Name of the authority	National Commodity & Derivatives Exchange Limited
2.	Nature and details of the action(s) taken, initiated or order(s) passed	Monetary penalty of Rs. 36,636/- (Rupees Thirty-Six Thousand Six Hundred Thirty-Six Only)
3.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	August 21, 2023
4.	Details of the violation(s) / contravention(s) committed or alleged to be committed	The violation pertains to the execution of trades in the COCUDAKL Dec 2023 contract on behalf of a client account, which were determined to be matched trades and subsequently reversed due to a substantial price difference.
5.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	The penalty has resulted in a monetary liability of Rs. 36,636/- (Rupees Thirty-Six Thousand Six Hundred Thirty-Six Only) imposed on the Company.