



# Shivalik Bimetal Controls Ltd.

(A Govt. of India Recognised Star Export House)

Regd. Off. : 16 - 18, New Electronics Complex, Chambaghat, Distt. Solan - 173213, H.P. ( INDIA )  
Phone : + 91 - 1792 - 230578 Fax : + 91 - 1792 - 230475, 230578  
Email : plant@shivalikbimetals.com Website : www.shivalikbimetals.com  
Secretarial / Investor Department : investor@shivalikbimetals.com  
CIN : L27101HP1984PLC005862



SBCL/BSE & NSE/2024-25/108

25<sup>th</sup> March, 2025

To,  
BSE Limited  
Corporate Relationship Deptt.  
PJ Towers, 25th Floor, Dalal Street,  
Mumbai – 400 001  
Code No. 513097

To,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G-Block  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051  
Code No. SBCL

**Sub.: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC).**

**Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020**

Dear Sir/Madam,

Pursuant to the SEBI Circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020. Please find enclosed herewith report on violations under the Code of Conduct for Insider Trading of the Company by the immediate relative of Designated Persons.

This is for your information and record.

Yours truly,

**For Shivalik Bimetal Controls Limited**

**Aarti Sahni**  
**Company Secretary & Compliance Officer**  
**M. No: A25690**

**Encl: As above**

**Report by Shivalik Bimetal Controls Ltd for violations  
related to Code of Conduct under SEBI (Prohibition of Insider Trading)  
Regulations, 2015.**

**For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details												
1	Name of the listed company/ Intermediary/Fiduciary	<b>Shivalik Bimetal Controls Ltd.</b>												
2	Please tick appropriate checkbox Reporting in capacity of: <ul style="list-style-type: none"> <li>• <b>Listed Company (x)</b></li> <li>• Intermediary (...)</li> <li>• Fiduciary (...)</li> </ul>													
3	<b>A. Details of Designated Person (DP)</b>													
	i. Name of the DP	Mrs. Richa Gupta												
	ii. PAN of the DP	AITPG0738J												
	iii. Designation of DP	Head- Human Resource												
	iv. Functional role of DP	Human Resource												
	v. Whether DP is Promoter or belongs to Promoter Group	No												
	<b>B. If Reporting is for immediate relative of DP</b>													
	i. Name of the immediate relative of DP	<b>MR. Raman Kant Gupta</b>												
	ii. PAN of the immediate relative of DP	ABGPK3514N												
	<b>C. Details of transaction(s)</b>	-												
	i. Name of the scrip	Shivalik Bimetal Controls Ltd (NSE: SBCL and BSE: 513097)												
	ii. No of shares traded and value (Rs.) (Date-wise)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Date of Purchase</th> <th style="text-align: center;">No of shares</th> <th style="text-align: center;">Price of share</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">27/01/2025</td> <td style="text-align: center;">294</td> <td style="text-align: center;">510</td> </tr> <tr> <td style="text-align: center;">19/03/2025</td> <td style="text-align: center;">11</td> <td style="text-align: center;">425</td> </tr> <tr> <td style="text-align: center;"><b>TOTAL</b></td> <td style="text-align: center;"><b>305</b></td> <td></td> </tr> </tbody> </table>	Date of Purchase	No of shares	Price of share	27/01/2025	294	510	19/03/2025	11	425	<b>TOTAL</b>	<b>305</b>	
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<b>TOTAL</b>	<b>305</b>													
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>													
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	-												
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	-												
4	Details of violations observed under Code of Conduct	-Trading during window closure - Trading without obtaining pre-clearance												
5	Action taken by Listed company/ Intermediary/ Fiduciary	Action is pending with the Audit Committee and the same shall be intimated to the stock exchanges once decided by the Audit Committee.												
6	Reasons recorded in writing for taking action stated above	Violation of Code of Conduct & SEBI insider Trading Regulations.												
7	Details of the previous instances of violations, if any, since last financial year	NA												

8	If any amount collected for Code of Conduct violation(s)	To be decide after action of audit committee																						
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	-																						
	ii. Details of transfer/payment  <b>In case of Online:</b> <table border="1" data-bbox="240 407 786 636"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table> <b>In case of Demand Draft (DD):</b> <table border="1" data-bbox="240 716 786 863"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)		Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		-
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9	Any other relevant information	NA																						

**Date: 25.03.2025**

**Place: Delhi**

Yours faithfully,

**Signature of Compliance Officer: .....**

**Name of Compliance Officer: Mrs. Aarti Sahni**

**PAN: AUKPA6293R**

**Email ID: [aartijassal@shivalikbimetals.com](mailto:aartijassal@shivalikbimetals.com)**