

Date: 29th October 2021

To,

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai - 400 001

**National Stock Exchange of India
Limited**

Exchange Plaza, Plot No. C/1, C Block,
Bandra Kurla Complex, Bandra (East)
Mumbai 400 051

Scrip Code: 523025

Scrip Code: SAFARI

Subject: Reporting of Violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015- Safari Industries (India) Limited

Dear Sir / Madam,

With reference to SEBI circular SEBI/HO/ISD/CIR/P/2020/135 dated 23rd July 2020 ('said Circular') read with SEBI (Prohibition of Insider Trading) Regulations, 2015 as may be amended from time to time, (*hereinafter referred to as 'said PIT Regulations'*), the Company hereby reports violation related to its Code of Conduct framed under said PIT Regulations by one of its employees named Mr. Akash Mishra (designated person) who have executed certain transactions during trading window closure period.

The Company hereby informs that we have issued warning letter to the employee and imposed monetary fine, considering this is first time violation by the employee which is done erroneously and the value of transaction is not high.

Details of the violation are enclosed herewith.

We request you to take above information on your record.

Thank you,

Yours Sincerely,

For **Safari Industries (India) Limited**


Rameez Shaikh
Company Secretary & Compliance Officer



Encl:

- 1) As above.

Annexure- A

Report by Safari Industries (India) Limited for violations related to Code of conduct under SEBI (Prohibition of insider Trading) Regulations, 2015.

Sr	Particulars	Details																								
1	Name of the listed Company	Safari Industries (India) Limited																								
2	Please tick appropriate checkbox Reporting in capacity of: ☒ Listed Company ☐ Intermediary ☐ Fiduciary																									
3	A. Details of Designated Person (DP)																									
	i. Name of DP	Mr.Akash Mishra.																								
	ii. PAN of DP	ASOPM1707E.																								
	iii. Designation of DP	Manager, Sourcing.																								
	iv. Functional Role of DP	Sourcing.																								
	v. Whether DP is Promoter or belongs to Promoter Group	No.																								
	B. If Reporting is for immediate relative of DP																									
	i. Name of the immediate relative of DP	Not applicable																								
	ii. PAN of the immediate relative of DP																									
	C. Details of transaction(s)																									
	i. Name of the Scrip	a) BSE: 523025 b) NSE: SAFARI																								
	ii. No. of shares traded and value (Rs.) (Date-Wise)																									
	<table border="1"> <thead> <tr> <th>Sr.</th><th>Date</th><th>Transaction</th><th>Quantity</th><th>Price</th><th>Total amount</th></tr> </thead> <tbody> <tr> <td>1</td><td>19th October 2021</td><td>Buy</td><td>20</td><td>Rs.836.42/-</td><td>Rs.16,728/-</td></tr> <tr> <td>2</td><td>25th October 2021</td><td>Buy</td><td>25</td><td>Rs.772.41/-</td><td>Rs.19,310/-</td></tr> <tr> <td>3</td><td>25th October 2021</td><td>Sell</td><td>25</td><td>Rs.803.61/-</td><td>Rs.20,090/-</td></tr> </tbody> </table>	Sr.	Date	Transaction	Quantity	Price	Total amount	1	19 th October 2021	Buy	20	Rs.836.42/-	Rs.16,728/-	2	25 th October 2021	Buy	25	Rs.772.41/-	Rs.19,310/-	3	25 th October 2021	Sell	25	Rs.803.61/-	Rs.20,090/-	
Sr.	Date	Transaction	Quantity	Price	Total amount																					
1	19 th October 2021	Buy	20	Rs.836.42/-	Rs.16,728/-																					
2	25 th October 2021	Buy	25	Rs.772.41/-	Rs.19,310/-																					
3	25 th October 2021	Sell	25	Rs.803.61/-	Rs.20,090/-																					
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter																									
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA																								
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA																								
4	Details of violations observed under Code of Conduct	Mr.Akash Mishra, has violated Company's Code of Conduct																								



		framed under SEBI (Prohibition of Insider Trading) Regulations, 2015 of the Company wherein he executed certain transactions during the period from 19th October 2021 to 25th October 2021, wherein 45 equity Shares of the Company were purchased by him in two tranches & out of which 25 equity shares were subsequently sold. The above transactions took place during the trading window closure period.								
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Company has issued a warning letter to Mr.Akash Mishra and has also imposed & collected monetary fine of Rs.5,000/-from him.								
6	Reasons recorded in writing for taking action stated above	Reason as stated in point no.4 above.								
7	Details of the previous instances of violations, if any, since last financial year	None.								
8	If any amount collected for Code of Conduct violation(s) i. Mode of transfer to SEBI - IPEF (Online/Demand Draft) ii. Details of transfer/payment In case of Online:<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td>Safari Industries (India) Limited</td></tr><tr><td>Bank Name, branch and Account number</td><td>Bank name: Axis Bank, Corporate Centre, Mumbai. Account No: 912020038005017</td></tr><tr><td>UTR/Transaction reference Number</td><td>AXISP00235064038.</td></tr></table>	Particulars	Details	Name of the transferor	Safari Industries (India) Limited	Bank Name, branch and Account number	Bank name: Axis Bank, Corporate Centre, Mumbai. Account No: 912020038005017	UTR/Transaction reference Number	AXISP00235064038.	Online The Company has imposed & collected monetary fine of Rs.5,000/-from Mr.Akash Mishra. The Company has been subsequently deposited into IPEF Account as referred to in the said Circular.
Particulars	Details									
Name of the transferor	Safari Industries (India) Limited									
Bank Name, branch and Account number	Bank name: Axis Bank, Corporate Centre, Mumbai. Account No: 912020038005017									
UTR/Transaction reference Number	AXISP00235064038.									



	Transaction date	28 th October 2021.	
	Transaction Amount (in Rs.)	Rs.5,000/-	
	In case of Demand Draft (DD): NA		
9	Any other relevant information		NA

Yours faithfully,



Name: Rameez Shaikh

PAN: BMRPS2542H

Email ID: rameez.shaikh@safari.in

Date: 29-Oct-21

Place: Mumbai