

Ref: SSL/NSE/054/2024-25

Date: 09/01/2025

To,
The General Manager,
Listing Department,
National Stock Exchange of India Limited,
Exchange Plaza, Bandra Kurla Complex,
Mumbai – 400051.

COMPANY CODE: SADHAV

SUBJECT: SUBMISSION OF CERTIFICATE AS PER REGULATION 3(5) AND 3(6) OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015 FOR THE QUARTER ENDED 31ST DECEMBER, 2024.

Dear Sir/Madam,

With reference to NSE Master Circular Ref No. NSE/CML/2024/10 dated 29th April, 2024, NSE Circular Ref. No: NSE/CML/25 dated March 29, 2023, NSE Circular Ref No: NSE/CML/ 2023/21 dated March 16, 2023 and in continuation to the Circular No. NSE/CML/2022/51, Circular No. NSE/CML/2022/52 dated November 04, 2022, Circular No. NSE/CML/2023/09 dated January 25, 2023 and Circular No. NSE/CML/2024/31 dated 18th October, 2024 issued by the Exchange, the listed entities to whom the provisions of Regulation 24A of SEBI (LODR) Regulations, 2015 are not applicable, are required to submit SDD Compliance certificate on quarterly basis in compliance with maintenance of Structural Digital Database ("SDD") as per Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("SEBI PIT Regulations, 2015").

Further, we hereby enclose the Compliance Certificate with respect to Structural Digital Database maintained by the Company as per the aforesaid Regulations issued by M/s. M K Saraswat & Associates, Company Secretaries, for the quarter ended 31st December, 2024.

Kindly take the same on your record and acknowledge.

Yours faithfully,
For Sadhav Shipping Limited

MADHURI
SHRIGOPAL RATHI

Digitally signed by MADHURI
SHRIGOPAL RATHI
Date: 2025.01.09 16:33:57 +05'30'



Ms. Madhuri Shrigopal Rathi
Company Secretary and Compliance Officer
Membership No: A71331

Encl: A/a

Corporate Office

618, Laxmi Plaza, New Link Road,
Andheri (W), Mumbai 400053
+91 22 4000 33 55
+91 22 4000 33 66

shipping@sadhav.com
www.sadhavshipping.com
CIN : L35100MH1996PLC101909

Registered Office

521, Loha Bhavan, P.D' Mello Rd,
Masjid (E), Mumbai 400009
+91 22 2348 25 24
+91 22 2348 25 26

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST DECEMBER, 2024
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mukesh Saraswat, (Partner of M. K. Saraswat and Associates) Practicing Company Secretary, Mumbai, appointed by **Sadhav Shipping Limited** (‘the Company’), having a registered office at 521, 5th Floor, Loha Bhavan, P. D’mello Road, Masjid (East), Mumbai Maharashtra, India, 400009 and corporate office at Unit-618,6th Floor, Laxmi Plaza, Bldg-9, New Link Road, Near Laxmi Industrial Estate, Andheri West, Mumbai, Maharashtra, India, 400053. I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD.
3. all the UPSI disseminated in the previous quarter have been captured in the Database.
4. the system has captured nature of UPSI along with date and time.
5. the database has been maintained internally and an audit trail is maintained.
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one_ number of events during the quarter ended 31st December, 2024 and has captured one number of the said required events.

I would like to confirm that company has as on date complied the requirement of setup/installation of SDD software and there is no discrepancy found.

Given on this 09/01/2025
Place: Mumbai

For: M. K. Saraswat & Associates

MUKESH SARASWAT
Digitally signed by
MUKESH SARASWAT
Date: 2025.01.09
12:38:53 +05'30'

MUKESH SARASWAT
Partner
M No. F9992
COP No.: 10856
UDIN: F009992F003626671