

Date: 14/04/2021

To

The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block,
Bandra-Kurla Complex
Bandra (E), Mumbai- 400 051
NSE Symbol: RUPA

The Department of Corporate Services
BSE Ltd
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai- 400 001
Scrip Code 533552

Ref: Regulation 40(10), read with Regulation 40(9), of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Sub: Submission of Certificate for the half year ended on March 31, 2021

Respected Sir/Ma'am,

With respect to above, please find enclosed herewith a Certificate, issued by Mr. Mohan Ram Goenka, a Practicing Company Secretary.

This is for your information and record.

Thanking you.

Yours faithfully,

For **RUPA & COMPANY LIMITED**



Kundan Kumar Jha

Company Secretary & Compliance Officer
ACS 17612

Encl.: As Above

MOHAN RAM GOENKA

M.Com, FCS, CFA, PGDFM, PGDPC.
Company Secretaries

46, B.B. Ganguly Street
Kolkata 700 012

Telephone: 2237 9517

Mobile No. 98310 74332

E-Mail: goenkamohan @ hotmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31st March, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Rupa & Company Limited having its registered office at 1, Ho Chi Minh Sarani, Metro Tower, 8th Floor, Kolkata-700 071**, maintained by M/s. Maheshwari Datamatics Private Limited of 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001, acting as the Registrar & Share Transfer Agent (RTA) pertaining to transfer of Equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and pursuant to the Listing Agreement as entered into by **M/s Rupa & Company Limited** with the Stock Exchanges, where the equity shares of the Company are listed and based on the information provided by the Company, I hereby certify that the Company has delivered during **half year ended on 31st March, 2021** :

- A) Share/Debenture Certificate(s) relating to the transfer of Share/Debentures received during the period from **1st October, 2020 to 31st March, 2021** as entered in the Memorandum of Transfers have been issued within fifteen/thirty days (as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds. However, the Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized



form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

- B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation, the same has been verified by E mail mode.

UDIN No.: **F004515C000089303**

Place : Kolkata
Date : 14.04.2021



Mohan Ram Goenka
(Mohan Ram Goenka)
Company Secretary
C.P.No.2551