



PENTA GOLD LTD.

ELEMENTS OF PURITY
BULLION, JEWELLERY & E-COMMERCE

19th October, 2019

To
National Stock Exchange of India Limited
Listing Department, Exchange Plaza, 5th floor,
Plot No. C/1, G Block, Bandra Kurla Complex
Bandra (East), Mumbai-400051

Ref: Scrip Code PENTAGOLD

Dear Sir

Sub: Compliance Certificate Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 30th September, 2019

Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, we hereby certify that all the activities in relation to both physical and electronic share transfer facility are maintained by our Registrar and Share Transfer Agent viz. **M/s Karvy Fintech Private Limited** having permanent SEBI Registration Number: **INR000000221**.

You are requested to take notice of the above and acknowledge the receipt.

Thanking You,
Yours faithfully

For Penta Gold Limited

Ketan Madhusudan Shroff
Director
(DIN: 00332988)
Encl: As above

COMPLIANCE CERTIFICATE
Half yearly ended 30/09/2019

THIS IS TO CERTIFY that, KARVY FINTECH PRIVATE LIMITED (KFPL) is providing Registry and Share Transfer services to PENTA GOLD LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

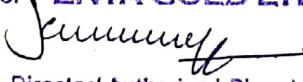
THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KARVY FINTECH PRIVATE LIMITED


Mohammed Shanoor
Corporate Registry

Place: Hyderabad
Date: 07/10/2019

For **PENTA GOLD LTD.**


Director/ Authorised Signatory

Karvy Fintech Private Limited (KFPL)
(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

"Karvy Selenium Tower-B", Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.
Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U67200TG2017PTC117649