

Date: 23.04.2024.

To,
The Manager,
Listing Department,
The National Stock Exchange of India Limited,
Exchange Plaza, C/1, Block-G,
Bandra-Kurla Complex,
Bandra (E), Mumbai - 400 051

Company's Scrip Code: UNIVASTU

Sub.: Compliance Certificate for the year ended 31 March 2024.

Ref.: Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to regulation 40 (9) and 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit Certificate from Krishna Rathi & Associates, Practicing Company Secretary for the year ended 31st March, 2024.

Please take the above on records.

Thanking you,

Yours faithfully,
For **Univastu India Ltd**

SAKSHI Digitally signed by
SAKSHI TIWARI
Date: 2024.04.23
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Sakshi Tiwari
Company Secretary
Membership No: ACS67056.

KRISHNA RATHI & ASSOCIATES
COMPANY SECRETARIES

Office No. 22, 2nd Floor, Hi-Life Premises CSL, P.M. Road, Santacruz (West), Mumbai - 400 054
Tel: +91-022-49716339 | Email Id: associates@krassociates.in

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING
OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31ST MARCH, 2024

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 1st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **Univastu India Limited ("the Company")**, maintained and provided electronically by **Bigshare Services Private Limited** (herein after referred to as "the Registrar & Share Transfer Agents" or "RTA"), pertaining to the financial year ended 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- A) (i) During the financial year ended 31st March, 2024, no requests for transfer were lodged / re-lodged with Company and / or RTA.
- (ii) During the financial year ended 31st March, 2024, the Company and/or RTA have not received any requests relating sub-division, transmission, split, replacement, duplicate, consolidation, renewal, exchange, or endorsement of calls/allotment monies, therefore, and hence, the compliance of Regulation 40(9) read with the relevant SEBI circulars, did not arise.
- B) Any other information, if applicable – N.A.

For **Krishna Rathi & Associates**
Company Secretaries

KRISHNA
SHYAM
SUNDER RATHI

Digitally signed by
KRISHNA SHYAM
SUNDER RATHI
Date: 2024.04.22
14:10:19 +05'30'

Krishna Rathi

Proprietor

FCS NO.: 9359

COP NO.: 10079

UDIN: F009359F000204972

Date: 22nd April, 2024

Place: Mumbai