



NEWGEN™

Newgen Software Technologies Limited

CIN: L72200DL1992PLC049074

A-6, Satsang Vihar Marg, Qutab Institutional Area, New Delhi - 110 067, INDIA

Email: corpmktg@newgensoft.com URL: <https://newgensoft.com>

Tel.: (+91)-11-40770100, 11-407737700, 26963571, Fax: (+91)-11-26856936

Date: April 12, 2019

To, BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400001 Fax No. 022-22721919	To, National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra- Kurla Complex Bandra (E), Mumbai – 400051 Fax No. 022-26598120
Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017) Scrip Code - 540900	Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017)

Sub.: Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2019 issued by Practicing Company Secretaries

Dear Sir/ Ma'am,

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 dated April 9, 2019 received by Company from M/s Kundan Agrawal & Associates, Practicing Company Secretaries for the half year ended March 31, 2019.

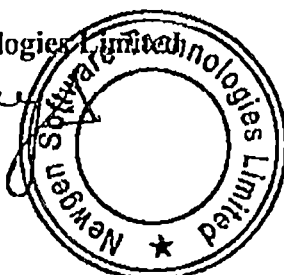
Kindly take the same on your record.

Thanking you,

For Newgen Software Technologies Limited

Aman Mourya

Aman Mourya
Company Secretary



Encl.: a/a



**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING
OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATION, 2015**

FOR THE HALF YEAR ENDED 31st MARCH 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s Newgen Software Technologies Limited** maintained by **M/s Karvy Fintech Private Limited (earlier known as Karvy Computershare Private Limited)**, Regd. off- Karvy Selenium, Tower- B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad-500032, India pertaining to transfer of equity shares of the company for the period from **01/10/2018 to 31/03/2019** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulation, 2015 adopted by, **M/s Newgen Software Technologies Limited** with BSE Limited and National Stock Exchange of India Limited based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended 31/03/2019:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **01/10/2018 to 31/03/2019** as entered in the Memorandum of Transfers have been issued within **(30) Thirty days** of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) *Any other information, if applicable.*

Place: Delhi
Date : 09/04/2019

For Kundan Agrawal & Associates
Company Secretaries
FRN: S2009DE113700

Kundan Agrawal
Company Secretary
C.P. No. 8325

