



VASA RETAIL AND OVERSEAS LIMITED

(Formerly known as VASA INTERNATIONAL)

RETAILS – WHOLESALE – EXPORTS – IMPORTERS

CIN: L74110MH2017PLC301013

Regd. Office: A-126, 1st Floor, Plot G-1, BGTA Godavari Premises Co. Op Soc. Ltd., Wadala Truck Terminal Road, Opp. Lodha, New Cuffe Parade Wadala (East), Mumbai – 400037.

Tel No. 09137407291 Website: www.vasagroup.in

Email Id: hardik@vasagroup.in / info@vasagroup.in

14th October, 2020

To,
The Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai – 400051.

Scrip code: VASA

Dear Sir / Madam,

Sub: Compliance Certificate under regulation 40 (9) of the SEBI (LODR) Regulations, 2015

Pursuant to the requirements of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing herewith the Compliance Certificate issued by Practicing Company Secretary as required under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 30th September, 2020.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Vasa Retail And Overseas Limited

HARDIK
BHUPENDRA
RA VASA

Digitally signed by
HARDIK
BHUPENDRA VASA
Date: 2020.10.14
00:06:26 +05'30'

Hardik Bhupendra Vasa
Managing Director
DIN: 03600510

Encl.: A/a



Disha Shah & Associates

PRACTISING COMPANY SECRETARY

Office: A-203, Prathmesh Avenue, Datta Mandir Road, Malad (E),
Mumbai - 400097

E-mail Id: csdisha15@gmail.com

Mob: 7666351444

**CERTIFICATE UNDER REGULATION 40(9) OF THE
AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020**

TO WHOMSOEVER IT MAY CONCERN

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **VASA RETAIL AND OVERSEAS LIMITED** having its registered office at A-126, 1st Floor, Plot G-1, BGTA Godavari Premises, Wadala Truck Terminal Road, Wadala (E), Mumbai- 400037, maintained by **Bigshare Services Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **VASA RETAIL AND OVERSEAS LIMITED** and based on information provided by the Company, we hereby certify that during the half year ended on **30th September, 2020** the Company:

- a) No request received related to the physical share transfer / transmission / split / remat / replacement / consolidation / sub-division / renewal / endorsement of calls / allotment monies during the period from **1st April, 2020 to 30th September, 2020**.

**For Disha Shah & Associates
Company Secretaries**



**Place: Mumbai
Date: October 12, 2020
UDIN: A046867B000914173**

**Disha Shah
Proprietor
M. No. ACS 46867 C.P. No. 19235**

Ref No. BSS/Reg 40 (9)

Date : 08/10/2020

VASA RETAIL AND OVERSEAS LTD
A-126, 1st Floor, Plot G-1
BGTA Godavari Premises Coop S. Ltd
Wadala Truck Terminal Road,
Wadala (EAST) MUMBAI
PIN 400037

Dear Sir/Madam

This is to inform you that we have not received any Physical Transfer/Transmission / Split/ Replacement/Consolidation/Sub-division / Duplicate/ Deletion of Name/Transposition during the period 01.04.2020 to 30.09.2020.

Thanking you,

Yours faithfully,
For Bigshare Services Pvt Ltd

SUBRAMANIAN ARUNRAJ
S ARUNRAJ
Authorised Signatory

Digitally signed by SUBRAMANIAN ARUNRAJ
DN: c=IN, o=Personal, pseudonym=1f5e85289a3795d3df3b8844bfe91cc10fa4fa74bc3ee43031736a7594fe3dc, postalCode=600125, st=TAMIL NADU, serialNumber=aea5e199df4ed89c0725b87057561469ff96e04bbc59f4ee378d79afbdeedd, cn=SUBRAMANIAN ARUNRAJ
Date: 2020.10.08 17:32:02 +05'30'

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)