



## **VASA RETAIL AND OVERSEAS LTD**

**RETAILS – WHOLESALE – EXPORTS – IMPORTERS**

CIN: L74110MH2017PLC301013

Regd. Office: A-126, 1st Floor, Plot G-1, BGTA Godavari Premises Co. Op Soc. Ltd.,  
Wadala Truck Terminal Road,  
Opp. Lodha New Cuffe Parade Wadala (East) MH 400 037  
Tel No. 09137407291 Email Id: investor@vasagroup.in  
Website: www.vasagroup.in

**31<sup>st</sup> May, 2020**

**To,**  
**The Listing Department,**  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai – 400051.  
**Scrip code: VASA**

Dear Sir / Madam,

**Sub: Compliance Certificate under regulation 40 (9) of the SEBI (LODR) Regulations, 2015**

Pursuant to the requirements of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing herewith the Compliance Certificate issued by Practicing Company Secretary as required under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2020.

Further due to prevailing situation of COVID - 19, this intimation is being filed as Sd/-.

Kindly take the same on record.

Thanking you,

Yours faithfully,

**FOR VASA RETAIL AND OVERSEAS LIMITED**

**Sd/-**

**HARDIK BHUPENDRA VASA**  
**MANAGING DIRECTOR**  
**DIN: 03600510**

**Encl. : A/a**



# Disha Shah & Associates

## PRACTISING COMPANY SECRETARY

Office: A-203, Prathmesh Avenue, Datta Mandir Road, Malad (E),  
Mumbai - 400097

E-mail Id: csdisha15@gmail.com

Mob: 7666351444

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020**

**TO WHOMSOEVER IT MAY CONCERN**

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **VASA RETAIL AND OVERSEAS LIMITED** having its registered office at A-126, 1st Floor, Plot G-1, BGTA Godavari Premises, Wadala Truck Terminal Road, Wadala (E), Mumbai- 400037, maintained by **Bigshare Services Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **VASA RETAIL AND OVERSEAS LIMITED** and based on information provided by the Company, I hereby certify that during the half year ended on **31<sup>st</sup> March, 2020** the Company:

- a) No request received related to the physical share transfer / transmission / split/ remat / replacement / consolidation / sub-division / renewal / endorsement of calls/ allotment monies during the period from **1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020**.

**For Disha Shah & Associates  
Company Secretaries**



**Place: Mumbai  
Date: May 31, 2020**

**Disha Shah  
M. No. ACS 46867 C.P. No. 19235**

UDIN: A046867B000305180

Ref BSS/Reg 40 9

Date : 20/04/2020

VASA RETAIL AND OVERSEAS LTD  
C/01 ANTOP HILL WAREHOUSING COMPLEX  
NEAR BARKAT ALI NAKA  
WADALA EAST  
MUMBAI 400037

Dear Sir/Madam,

Sub: Regulation 40(9)

This is to inform you that we have not received any Physical Transfer /Transmission / Split/ Replacement/Consolidation/Sub-division /Duplicate during the period 01.10.2019 to 31.03.2020.

Thanking you,

Yours faithfully,  
For Bigshare Services Pvt. Ltd.,

S

ARUNRAJ

S ARUNRAJ

Authorised Signatory

Digitally signed by S ARUNRAJ  
DN: cn=S ARUNRAJ, o=Bigshare Services Pvt. Ltd., c=IN  
sh=Tamil Nadu, street=LOT NO-3, THIRUPURASUDARI  
AVENUE, MADHANANDAPURAM, KANCHEEPURAM,  
in=Thiruvallur,  
serialNumber=aea5e199d4ed89c0725b8702756146  
9998e0d2bc29f4ec378d79afdbecdd, cn=S ARUNRAJ,  
2.5.2.20=41725f3e17d5e033bafe0b04a720f5e050789  
61083a7108c3e5597984919ac0  
Date: 2020.04.20 17:46:30 +05'30'

**CIN : U99999MH1994PTC076534**

**(An Associate Company of Transfer Online Inc., USA)**