



RUPA & COMPANY LIMITED



Date: April 19, 2023

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block
Bandra Kurla Complex, Bandra (E)
Mumbai - 400 051

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai - 400 001

Ref: NSE Symbol- RUPA / BSE Scrip Code- 533552

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

We are forwarding herewith a certificate issued under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by MR & Associates, Company Secretaries, for the year ended March 31, 2023.

Kindly take the same on record.

Thanking you.

Yours faithfully,
For Rupa & Company Limited

Manish Agarwal
Company Secretary & Compliance Officer

Encl: As above



MR & Associates

COMPANY SECRETARIES

46, B. B. Ganguly Street, 406, Kolkata - 700 012

Tel No: 033 2237 9517 / 4007 7907

Email : mrasso1996@gmail.com / goenkamohan@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31st March, 2023

We have examined all relevant registers, files and other documents relating to Rupa & Company Limited (CIN: L17299WB1985PLC038517) having its registered office at 1, Ho Chi Minh Sarani, Metro Tower, 8th Floor, Kolkata-700 071 as maintained by Maheshwari Datamatics Private Limited, the Registrar and Share Transfer Agent (RTA) of the Company pertaining to the period from April 1, 2022 to March 31, 2023 for the purpose of issuing a Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ RTA we hereby certify that:

- i. Letter of Confirmation (pursuant to SEBI Circular dated January 25, 2022) in respect of requests for transmission/ duplicate issue received during the period April 01, 2022 to March 31, 2023 have been issued within thirty days of the date of lodgement excepting those rejected on technical grounds.
- ii. The Company has not received any request for transfer of shares, sub-division, consolidation, renewal, exchange of certificates or endorsement of calls/allotment monies during the period April 01, 2022 to March 31, 2023.

UDIN No.: F004515E000137098



For MR & Associates


(M R Goenka)
Company Secretary
Partner
C.P.No.2551

Place : Kolkata
Date : 19.04.2023