

Date: 11th October, 2019

To
Manager - Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051

REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED

SCRIP CODE: WEALTH

Sub: Submission of Compliance Certificate certifying maintaining physical and electronic transfer facility in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2019.

Dear Sir/Madam,

Find herewith attached Compliance Certificate certifying maintaining physical and electronic transfer facility for the half year ended 30th of September, 2019 in compliance with Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take it in your record.

Thanking you.

Yours faithfully,

FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED



HENA SHAH
WHOLE-TIME DIRECTOR
DIN: 00089161



Encl: Compliance Certificate

Wealth First Portfolio Managers Limited

Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015

☎ +91 79 40240000 📧 contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

CIN - L67120GJ2002PLC040636

Date: 11th October, 2019

To
Manager - Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai-400051

Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2019

Dear Sir / Madam,

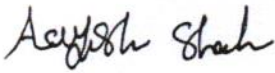
Pursuant to the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that all activities in relation to both physical and electronic share transfer facility are maintained by our Share Transfer Agent viz. Bigshare Services Pvt. Ltd.

Kindly take note of the above.

Thanking you,

Yours faithfully,


For Wealth First Portfolio Managers Ltd



Aayush Shah
Compliance Officer



For Bigshare Services Pvt. Ltd.



(Authorised Signatory)



Wealth First Portfolio Managers Limited

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