

**DATE: 11<sup>TH</sup> OCTOBER, 2019**

To  
Manager - Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400051

**REF: WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

**SCRIP CODE: WEALTH**

**Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2019**

Dear Sir/Madam,

Find herewith attached Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015 for half year ended on 30<sup>th</sup> September, 2019 issued by Bigshare Services Private Limited, Registrar and Share Transfer Agent (RTA) and Mr. Kunal Sharma, Practicing Company Secretary, Ahmedabad.

We request you to take the same in your record.

Thanking you.

Yours faithfully,

**FOR WEALTH FIRST PORTFOLIO MANAGERS LIMITED**

*Hena A Shah*

**HENA SHAH  
WHOLE-TIME DIRECTOR  
DIN: 00089161**

**Encl: Compliance Certificate**



**Wealth First Portfolio Managers Limited**

**Capitol House, 10, Paras-II, Near Prahladnagar Garden, Ahmedabad - 380 015**

☎ +91 79 40240000 📧 contact@wealthfirst.biz 🌐 www.wealth-firstonline.com

**CIN - L67120GJ2002PLC040636**



# Bigshare Services Pvt. Ltd.

A-802, Samudra Complex, Near Girish Cold Drinks,  
off C. G. Road, Navranpura, Ahmedabad - 380 009.  
Email: bssahd@bigshareonline.com • website: www.bigshareonline.com

SEBI  
REGISTERED CATEGORY I  
REGISTRAR & TRANSFER  
AGENT



DATE:-11.10.2019

WEALTH FIRST PORTFOLIO MANAGERS LTD  
CAPITAL HOUSE 10 PARAS II  
PRAHLAD NAGAR  
ANAND NAGAR  
AHMEDABAD  
AHMEDABAD 380051

Dear Sir

UNIT :-WEALTH FIRST PORTFOLIO MANAGERS LTD

Sub : Clause 40 of the Securities Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2017.

We hereby give the following details during 01/04/2019 30/09/2019  
with respect to Compliance Certificate under Clause40 of the Securities Exchange Board of India (Listing obligation and Disclosures Requirements) Regulations, 2017.

Sr. No	Particulars	No. of Transact	No. of Shares
1	Consolidation	NIL	NIL
2	Correction Entry	NIL	NIL
3	Exchange	NIL	NIL
4	Folio Consolidation	NIL	NIL
5	Mutilation	NIL	NIL
6	Name Deletion	NIL	NIL
7	Re-Materialization	NIL	NIL
8	Replacement	NIL	NIL
9	Share Duplicate Issue	NIL	NIL
10	Split	NIL	NIL
11	Transmission	NIL	NIL
12	Transposition	NIL	NIL

Yours faithfully,  
for BIGSHARE SERVICES PVT LTD

Authorised signatory



CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.

Corporate Office: 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.

Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : info@bigshareonline.com • Website : www.bigshareonline.com



**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

I have examined relevant Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Wealth First Portfolio Managers Limited ("the Company")** as maintained by **Bigshare Services Private Limited, Ahmedabad ("the RTA")** pertaining to transfer of Equity Shares of the Company for the half year period from **01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 ("the Period")** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by the Company and on the basis of relevant information together with necessary management representations as provided by the Company, I hereby certify that during the period:

- (a) There were no instances of transfer/transmission, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period.

**Date: 11<sup>th</sup> October, 2019**  
**Place: Ahmedabad**  
**UDIN - F010329A000057191**



**For, Kunal Sharma & Associates**  
**Company Secretaries**

**Kunal Sharma**  
**Proprietor**  
**M. No: F10329**  
**CP No: 12987**