



VASA RETAIL AND OVERSEAS LIMITED

(Formerly known as VASA INTERNATIONAL)

RETAILS – WHOLESALE – EXPORTS – IMPORTERS

CIN: L74110MH2017PLC301013

Regd. Office: A-126, 1st Floor, Plot G-1, BGTA Godavari Premises Co. Op Soc. Ltd., Wadala Truck Terminal Road, Opp. Lodha, New Cuffe Parade Wadala (East), Mumbai – 400037.

Tel No. 09137407291 Website: www.vasagroup.in

Email Id: hardik@vasagroup.in / info@vasagroup.in

19th April, 2021

To,
The Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai – 400051.
Scrip code: VASA

Dear Sir / Madam,

Sub: Compliance Certificate under regulation 40 (9) of the SEBI (LODR) Regulations, 2015

Pursuant to the requirements of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing herewith the Compliance Certificate issued by Practicing Company Secretary as required under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 31st March, 2021.

Kindly take the same on record.

Thanking you,

Yours faithfully,

FOR VASA RETAIL AND OVERSEAS LIMITED



HARDIK BHUPENDRA VASA
MANAGING DIRECTOR
DIN: 03600510

Encl.: A/a



Disha Shah & Associates

PRACTISING COMPANY SECRETARY

Office: A-203, Prathmesh Avenue, Datta Mandir Road, Sangeeta Cineplex, Malad (E), Mumbai - 400097

E-mail Id: csdisha15@gmail.com

Mob: 7666351444

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2021

TO WHOMSOEVER IT MAY CONCERN

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **VASA RETAIL AND OVERSEAS LIMITED** having its registered office at A-126, 1st Floor, Plot G-1, BGTA Godavari Premises, Wadala Truck Terminal Road, Wadala (E), Mumbai-400037, maintained by **Bigshare Services Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **VASA RETAIL AND OVERSEAS LIMITED** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2021** the Company:

- a) No request received related to the physical share transfer / transmission / split / remat / replacement / consolidation / sub-division / renewal / endorsement of calls / allotment monies during the period from **1st October, 2020 to 31st March, 2021**.

For Disha Shah & Associates
Company Secretaries



Place: Mumbai

Date: April 19, 2021

UDIN: A046867C000124868

Disha Shah

M. No. ACS 46867 C.P. No. 19235

Ref No. BSS/REG 40 9

Date : 07/04/2021

VASA RETAIL AND OVERSEAS LTD
A-126, 1st Floor, Plot G-1
BGTA Godavari Premises Coop S. Ltd
Wadala Truck Terminal Road,
Wadala (EAST) 400037

Dear Sir/Madam

This is to inform you that we have not received any Physical Transfer/Transmission / Split/ Replacement/Consolidation/Sub-division / Duplicate during the period 01.10.2020 to 31.03.2021.

Thanking you,

Yours faithfully,
For Bigshare Services Pvt Ltd

**SUBRAMANI
AN ARUNRAJ**

Digitally signed by SUBRAMANIAN ARUNRAJ
DN: c=IN, o=Personal,
pseudonym=1f5e85289a3795d3df3b8844bfee
91cc10fa4fa74bc3ee43031736a7594fe3dc,
postalCode=600125, st=TAMIL NADU,
serialNumber=aaaae119df4ed89c9f725b8705
7561469f96e04bc59f4ee378d79afbdeedd,
cn=SUBRAMANIAN ARUNRAJ
Date: 2021.04.07 11:30:57 +05'30'

S ARUNRAJ
Authorised Signatory

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.
Tel. : +91 22 6263 8200 • Fax : +91 22 6263 8299 • Email : info@bigshareonline.com • Website : www.bigshareonline.com
Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.