

13th April, 2022

Ref. No.: WOCK/SEC/SE/2022-23/1

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| BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u> | National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol: WOCKPHARMA</u> |
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Dear Sir/Madam,

Sub: Submission of Certificate pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In compliance with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the copy of certificate by a Practising Company Secretary for the half year ended 31st March, 2022.

Kindly acknowledge receipt of the same.

Thanking you,

For Wockhardt Limited



Debashis Dey
Company Secretary

Encl: As above

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Name of the Company : WOCKHARDT LIMITED

CIN : L24230MH1999PLC120720

Registered Office : Wockhardt Research Centre, D - 4, M.I.D.C. ,
Chikalthana, Aurangabad - 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2022**


I have examined all the relevant documents relating to **WOCKHARDT LIMITED** maintained by the **Link Intime India Private Limited** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April, 2021 to 31st March, 2022** and based on the information provided, hereby certify that the Company has delivered during year ended on **31st March, 2022**:

- A. The Share Certificates relating to the Share Transmission forms and Name Deletion requests, received during the period from **01st April, 2021 to 31st March, 2022** has been issued within prescribed time from the respective date of lodgement, except those rejected on technical grounds.
- B. Pursuant to the Securities and Exchange Board of India (SEBI) notification dated 08th June, 2018 relating to the amendments in Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Registrar and Share Transfer Agent has not transferred any physical shares during the audit period.
- C. No requests were received by the Registrar and Share Transfer Agents of the Company for transfer, consolidation, sub- division, issue of duplicate share certificates for the period from **01st April, 2021 to 31st March, 2022**.

Date: 13th April, 2022

Place: Mumbai

UDIN: A001157D000099112


Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Peer Review Cert. No.: 1439/2021

