

MOHAN RAM GOENKA

M.Com, ACS, CFA, PGDFM, PGDPC.
Company Secretaries

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**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to ***M/s Tantia Constructions Limited*** having its Registered office at DD 30, Sector-1, Salt lake City, Kolkata - 700064, maintained by M/s. Maheshwari Datamatics Private Limited of 23, R.N. Mukherjee Road, 5th Floor, Kolkata 700 001, acting as the Registrar & Share Transfer Agent (RTA) pertaining to transfer of Equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as entered into by ***M/s. Tantia Constructions Limited*** with the Stock Exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during ***half year ended on 30th September, 2020*** :

- A) Share/Debenture Certificate(s) relating to the transfer of Share/Debentures received during the period from ***1st April, 2020 to 30th September, 2020*** as entered in the Memorandum of Transfers have been issued within fifteen/ thirty days (as applicable) of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each excepting those rejected on technical grounds. However, the Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized



form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

- B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation, the same has been verified by E mail mode.

UDIN No.: F004515B001111764



(Signature)
(Mohan Ram Goenka)
Company Secretary
C.P.No.2551

Place : Kolkata
Date :30.10.2020