

Uttam Value Steels Limited

Registered Office: Uttam House, 69, P. D'Mello Road, Mumbai – 400 009

Tel.: +91-22-6656 3500 * Fax: +91-22-2348 5025

CIN No.: L27100MH1970PLC014621

Website : www.uttamvalue.com



Dated: 26th April, 2019

To, The Listing Manager, BSE Limited, P.J. Towers, Dalal Street, Mumbai – 400001 <u>Scrip Code: 500254</u>	To, The Listing Manager, The National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No. C/1, G Block, Bandra Kurla Complex Bandra (East), Mumbai - 400 051 <u>SYMBOL: UVSL</u>
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Dear Sir/Madam,

Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015.

Please find enclosed herewith a Certificate under Regulation 40(9) dated 26th April, 2019 issued by Practicing Company Secretary namely, Mr. V. B. Kondalkar certifying compliance of Regulation 40 (9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 by the R & T Agent of the Company namely, M/s Bigshare Service Private Limited for the half year from 1st October, 2018 to 31st March, 2019.

Kindly take the same on your record.

Thanking You,

Yours truly,
For Uttam Value Steels Limited

(Ram Gaud)
Associate Vice President & Company Secretary

Encl: As above

V. B. KONDALKAR & ASSOCIATES

Company Secretaries

A/202, Midas Enclave, Dadisheth Road, Near Babuline Naka, Malad (West), Mumbai - 400 064.
Tel.: 022 2880 6348 • Mobile: +91 99678 37905 • e-Mail: pcs.vbk@gmail.com

To,
The Board of Directors,
UTTAM VALUE STEELS LIMITED
4th Floor, Uttam House, 69,
P. D'Mello Road, Mumbai - 400009


**CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31ST MARCH, 2019

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UTTAM VALUE STEELS LIMITED** (the Company) maintained by **BIGSHARE SERVICES PRIVATE LIMITED**, Company's Registrar & transfer Agents, pertaining to transfer of equity shares of the Company for the period from **1st October, 2018 to 31st March, 2019** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by the Company with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company, I hereby certify that the Company has delivered in respect of the half year ended **31st March, 2019**:

- a) The Company has delivered Share Certificates relating to the certain request of transfers of Shares, received during the period from **1st October, 2018 to 31st March, 2019**, as entered in the Memorandum of Transfers within fifteen days of the date of lodgment for transfers, however in most of the cases there is a delay of more than 15 days wherein after enquiry the RTA has given the delay reason certificate dated 25th April, 2019 stating that delay has been occurred due to spurt in volumes of transfers and demat requests due to change in SEBI regulations (like change in the LODR banning physical transfer w.e.f. 5th December, 2018, which was subsequently extended till 31st March, 2019).
- b) The Company has neither issued any debenture nor carried out any activity such as sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, during the period under consideration, hence information in this regards could not commented.

For V. B. Kondalkar & Associates
Company Secretaries


Vijay B Kondalkar
Proprietor
ACS-15697/CP-4597



Place : Mumbai
Date : 26-04-2019