

October 16, 2019

Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001

Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Bandra Kurla Complex,
Bandra (East)
Mumbai - 400051

Scrip Code: 539940

Scrip Code: MAXVIL

Sub. Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sirs,

Please find enclosed Certificate dated October 16, 2019 of T. Sharad & Associates, Company Secretaries under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take note of the above.

Thanking you,

Yours faithfully

For Max Ventures and Industries Limited


Saket Gupta
Company Secretary & Compliance Officer



Encl. as above

Max Ventures and Industries Limited

Corporate Office: Max Towers, L-12, C-001/A/1, Sector- 16B, Noida- 201301, Uttar Pradesh, India | P : +91 120-4743222
Regd. Office: 419, Bhai Mohan Singh Nagar, Village Railmajra, Tehsil Balachaur, Dist. S.B.S. Nagar (Nawanshahr), Punjab 144 533, India

E-Mail : secretarial@maxvil.com

Website : www.maxvil.com

CIN: L85100PB2015PLC039204

T. SHARAD & ASSOCIATES
Company Secretaries

E-24, IInd Floor,
Greater Kailash Enclave -I,
New Delhi-110048.
Phone: 9871494445, 9810016067
styagi1978@yahoo.co.in



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF
YEAR ENDED SEPTEMBER 30, 2019**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Max Ventures and Industries Limited** maintained by **MAS Services Limited**, Registrar and Share Transfer Agent pertaining to transfer of equity shares of the Company for the period from **April 01, 2019 to September 30, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015 and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on **September 30, 2019**;

- A)** Share Certificate(s) relating to the Share Transfer form(s) received during the period from **April 01, 2019 to September 30, 2019**, within 30 days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;
- B)** Share Certificate(s) in respect of requests relating to transmission/sub-division (split)/renewal and consolidation received during the period from **April 01, 2019 to September 30, 2019**, within 30 days from the respective date of lodgment of each deed excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to exchange or endorsement of calls/ allotment monies received during the said period.

The above certificate has been issued based on the records shown and representations made by the Company.

For T. Sharad & Associates
Company Secretaries

(F.C.S. Sharad Tyagi)
Membership No. FCS 5975
Place: New Delhi

T. Sharad & Associates

Date: Wednesday, October 16, 2019

Max Ventures and Industries Limited