

April 24, 2023

To,  
The Manager- Listing  
The Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai-400051.

**NSE Symbol: PAVNAIND**  
**ISIN- INE07S101020**

**Subject: Certificate under Regulation 40 (10) of SEBI (LODR) Regulation 2015**

Dear Sir,

With reference to the captioned subject, Certificate under Regulation 40 (10) of SEBI (LODR) Regulation 2015 is attached.

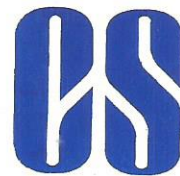
Please take the same on your record and note that we will continue apply with the other applicable listing compliance.

Yours faithfully

**For Pavna Industries Limited**

charu  
singh

**Charu Singh**  
**Company Secretary**  
**M. No.: A48257**



Practicing Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and its press release on 03<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, I have examined Benpos in respect to PAVNA INDUSTRIES LIMITED as provided by Link Intime Private Limited, RTA as on 31<sup>st</sup> March, 2023 and based on the information provided by the Company for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

The entire issued and subscribed capital of the Company is converted in to dematerialized form. Hence no physical share certificates were required to be delivered during the period from 01<sup>st</sup> October, 2022 to 31<sup>st</sup> March, 2023 and Regulation 40(9) of SEBI (LODR) Regulations 2015 shall not be applicable to the Company for the purpose of Transfer of shares, Transmission of shares, renewal of share certificate, subdivision, consolidation, renewal or split as the case may be.

**FOR D. SAGAR & ASSOCIATES**

**CS SAGAR RAMRAO DEO**



Practicing Company Secretary

M. No: F9518

CP No.: 11547

Peer Review no.: 1192/2021

**UDIN: F009518E000135457**

Date: - 18/04/2023

Place: - Chhatrapati Sambhajanagar

(Formerly known as Aurangabad)

**D SAGAR & ASSOCIATES**

**CS SAGAR DEO | B.S.L., LL.B., ACS**

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