

May 20, 2022

BSE Limited

Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
Security Code 500390

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra-Kurla Complex
Bandra (East), Mumbai 400 051
Security Code RELINFRA

Subject: Inadvertent trade by Designated Person

Dear Sir,

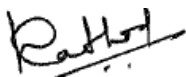
With reference to the captioned subject, we wish to inform that a communication was received from our Independent Director, Dr. Thomas Mathew that, purely on account of oversight and genuine mistake, he sold 70,577 equity shares of the Company from his account when trading window was closed viz., on May 6, 2022 and May 9, 2022.

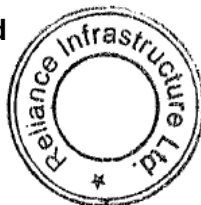
The Audit Committee of the Company was notified of this incident and based on Dr. Mathew's submission in his communication to the Company, the Committee concluded that this was an inadvertent trade made without any intent to violate the Company's Code on Prohibition of Insider Trading or the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI Regulations). However, as there was an infraction of the Company's Code and the SEBI Regulations, a penalty of Rs. 3,32,185/- (being profit earned on the transaction plus a penalty of Rs. 20,000) has been imposed by the Committee on the Independent Director. The said amount has been remitted to Investor Protection Education Fund (IPEF) in accordance with the SEBI Regulations.

We enclose herewith the disclosure in Annexure "I" in terms of SEBI Circular no. SEBI/HO/ISD/CIR/P/2020/135 dated July 23, 2020 regarding violation of Code of Conduct under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Yours faithfully

For **Reliance Infrastructure Limited**


Paresh Rathod
Company Secretary



Encl: As above

Report by Reliance Infrastructure Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

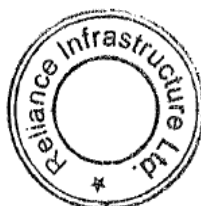
Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Reliance Infrastructure Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Dr. Thomas Mathew
	ii. PAN of the DP	AAXPM4337R
	iii. Designation of DP	Independent Director
	iv. Functional Role of DP	Non-Executive Director
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Reliance Infrastructure Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	<u>May 6, 2022</u> 30,577 shares sold Rs. 34,24,624/- <u>May 9, 2022</u> 40,000 shares sold Rs. 45,06,000/-
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	May 10, 2022
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	May 12, 2022
4	Details of violations observed under Code of Conduct	Shares of the Company sold during prohibited period.
5	Action taken by Listed company/ ~~Intermediary/~~ ~~Fiduciary~~	Penalty of Rs. 3,32,185/- (being profit earned on the transaction plus a penalty of Rs. 20,000) has been imposed on the Independent Director.

Sr. No.	Particulars	Details
6	Reasons recorded in writing for taking action stated above	The audit committee noted that while the transaction was a genuine mistake due to oversight, it was in contravention of the Company's code and PIT regulations and accordingly, it was decided to levy the above penalty.
7	Details of the previous instances of violations, if any, since last financial year	-
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	Reliance Infrastructure Limited
	Bank Name, branch and Account number	Yes Bank Limited, Andheri (E), Mumbai 000181400007823
	UTR/Transaction reference number	YESBR52022052092357759
	Transaction date	May 20, 2022
	Transaction Amount (in Rs.)	Rs. 3,32,185/-
	In case of Demand Draft (DD): NA	
	Particulars	Details
Bank Name and branch		
DD Number		
DD date		
DD amount (in Rs.)		
9	Any other relevant information	Nil

Yours faithfully,
For **Reliance Infrastructure Limited**

Paresh Rathod

Paresh Rathod
Company Secretary and Compliance Officer



PAN: ACFPR0892J
Email id: rinfra.cs@relianceada.com