

Alps Industries Ltd.

REGD./CORP. OFFICE

57/2, Site-IV, Industrial Area
Sahibabad, Ghaziabad - 201010
Uttar Pradesh (India)

ALPS/504/SE10/2019-2020

October 12, 2019

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>Ms. Erika D'Sa, (Relationship Manager) Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com , corp.relations@bseindia.com</p>
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SUB: SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30th SEPTEMBER 2019.

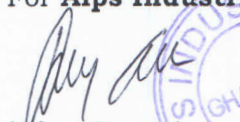
Dear Sir,


With reference to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Certificate as required under the said regulation for the half year ended on 30th September, 2019 as received by Mr. Rajiv Khosla, Proprietor of Rajiv Khosla and Associates, Practicing Company Secretary.

This is for your kind information and record please.

Thanking you,

Yours faithfully,
For **Alps Industries Limited**


(Ajay Gupta)
Company Secretary
& General Manager - Legal
Encl : a/a



RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005

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CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED (CIN L54409UP1972PLC003544)** having its Registered & Corporate office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) maintained by Registrar & Transfer Agent **M/s. ALANKIT ASSIGNMENTS LIMITED (CIN U74210DL1991PLC042569)**, 205-208, Anarkali Market, Jhandewalan Extension, New Delhi-110055 pertaining to transfer of equity shares of the company for the period from **April 1, 2019 to September 30, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015 entered into by, **ALPS INDUSTRIES LIMITED** with National Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during half year ended on **September 30, 2019:**

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **April 1, 2019 to September 30, 2019** as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the half year ended on 30.09.2019.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA) P.P. NO. 3927
C.P. NO. -3927

PLACE: GHAZIABAD

DATE : OCTOBER 11, 2019

UDIN: F0051974000061968