

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005  
Mobile : 9811583699 Telefax : 95120-2610974  
E-mail khosla.rk1972@yahoo.co.in • khosla.rajivfcs@gmail.com

## CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE HALF YEAR ENDED MARCH 31, 2019.

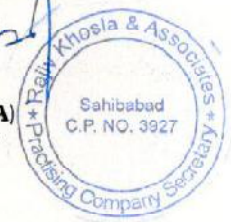
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED (CIN L54409UP1972PLC003544)** having its Registered & Corporate office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) maintained by Registrar & Transfer Agent **M/s. ALANKIT ASSIGNMENTS LIMITED (CIN U74210DL1991PLC042569)**, 205-208, Anarkali Market, Jhandewalan Extension, New Delhi-110055 pertaining to transfer of equity shares of the company for the period from **October 1, 2018 to March 31, 2019** for the purpose of issuing a Certificate as per Regulation 40(10) of SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015 entered into by, **ALPS INDUSTRIES LIMITED** with National Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during half year ended on **March, 31, 2019:**

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **October 1, 2018 to March 31, 2019** as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was one case of 4 shares for consolidation.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)  
C.P. NO. -3927



PLACE: GHAZIABAD  
DATE : APRIL 12, 2019