

Date: 7th November, 2025

To,
The Manager-Listing Department,
The National Stock Exchange of India Limited,
Exchange Plaza,
NSE Building, Bandra Kurla
Complex, Bandra East,
Mumbai – 400001

Respected Sir/Madam,

<u>Sub.: Disclosure under Regulation 30 of SEBI (LODR) Regulations, 2015 - Board's Comments on Fine Levied by the Exchange</u>

Ref.: SYMBOL: RAJINDLTD; ISIN: INEOPCU01012

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform that the Company has received a letter of non-compliance from the Exchange for delay in uploading the Annual Report on the NEAPS portal. A fine of ₹2,360/- has been imposed in this regard.

The matter was reviewed by the Board at its meeting held on November 7, 2025. The Board noted that the delay happened due to a technical issue on the NSE portal while uploading the Annual Report on the NSE portal and that the non-compliance was purely unintentional.

To avoid recurrence, the Board has recommended implementing a two-level verification and monitoring mechanism to ensure timely submission of filings and to maintain records of any technical issues encountered during uploads.

The Company remains committed to ensuring full regulatory compliance and transparency.

We request you to kindly take note of the above and update your records accordingly.

Thanking you.

Yours faithfully, For RAJPUTANA INDUSTRIES LIMITED

PREETI
KHATORE

Digitally signed by PREETI KHATORE

Date: 2025: 11.07 15:15:16 + 05:30"

Preeti Khatore Company Secretary & Compliance Officer

Encl.: as above





NSE/LIST-SOP/FINES/1176

October 30, 2025

The Company Secretary **Rajputana Industries Limited** F-269-B, Road No. 13, Vkia, Rajasthan, Jaipur, Pin-302013

Dear Sir/Madam,

Subject: Notice for non-compliance with SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Your attention is drawn towards SEBI Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 (hereinafter referred to as "Master Circular"), specifying Standard Operating Procedure for imposing fines and suspension of trading in case of non-compliance with the Listing Regulations. On verification of the Exchange records, it has been observed that your Company has not complied/delayed complied with Regulation 34 of Listing Regulations for the financial year ended March 31, 2025. The details of non-compliance(s)/delayed compliance(s), total fine payable by your Company and the particulars about manner in which fine should be remitted to the Exchange is enclosed as **Annexure**.

You are requested to inform the Promoters about identified non-compliance/delayed compliance and to ensure compliance with respective regulation(s) and/or make the payment of fines within 15 days from the date of this notice, failing which the Exchange may initiate following actions as per Master Circular:

- 1. Initiate freezing of entire shareholding of the Promoters in the Company as well as in other securities held in the Demat account of the Promoters.
- 2. Trading in securities of your Company shall take place on a 'Trade for Trade' basis, in case of consecutive default with Regulations 34 of the Listing Regulations i.e., Shifting of trading in securities to Z Category as per Master Circular.

You may also file the waiver request. Below are the parameters for filing the application for waiver:

- a) Detailed submission indicating reasons for waiver, considering the extant Policy for Exemption of Fines, and shall indicate whether it intends to seek personal hearing before the concerned Committee.
- b) The Company may further note that *compliance is a prerequisite for applying for waiver*. Thus, waiver application of the non-complied Companies will not be processed without achieving the compliance.
- c) In case the Company is non-complaint under multiple regulations, the Company is advised to file a single application mentioning the details of all the respective regulations and quarters for which the Company intends to apply for waiver, in order to avoid the duplication of the entries.
- d) Non-refundable Processing fees for an amount of **Rs.10,000 plus 18% GST** to be paid to the **designated Exchange**, (as segregated between the Exchanges as per the policy for waiver of fines) only if the fine amount is more than Rs. 5,000.

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National Stock Exchange Of India Limited

However, before filing an application for waiver of fines, you are requested to refer to the below policies available on the Exchange's website. For ready reference you may refer below links:

i. Policy on exemption of fine:

https://archives.nseindia.com/content/equities/Policy for exemption SOP Equity.pdf

ii. Policy on processing of waiver application:

https://nsearchives.nseindia.com//web/circular/2025-08/Circular 20250826181853.pdf

iii. Illustrative list of scenarios which cannot be considered for waiver:

https://nsearchives.nseindia.com/web/sites/default/files/inline-files/Annexure%20II 0.pdf

The request for waiver of fine can be submitted to Exchange through NEAPS portal along with documentary evidence on the below link (Please note that waiver applications sent via mail will not be considered):

NEAPS>>Compliance>>Fine Waiver>>Waiver Request.

Further, as per Master Circular, your Company is also required to ensure that the said non-compliance which has been identified by the Exchange and subsequent action taken by the Exchange in this regard shall be placed before the Board in the next Board Meeting and comments made by the Board shall be duly informed to the Exchange at the below mentioned path in NEAPS portal along with this letter for dissemination having the announcement text as 'Board comments on fine levied by the Exchange'.

Path: NEAPS > COMPLIANCE > Announcements > Announcements/ CA (Subject: Updates)

In case of any clarification, you may contact any of the below mentioned Exchange Officers from Listing Compliance Department: -

- Ms. Harshita Chaubal
- Ms. Sonam Yadav
- Ms. Madhu Kadam
- Ms. Suman Lahoti
- Ms. Duhita Dhure
- ➤ Ms. Chanchal Daga (Waiver request)
- ➤ Ms. Sweety Mamodia (Waiver request)
- Mr. Vinod Nimbalkar (Waiver request)

Yours faithfully

For National Stock Exchange of India Limited

Rachna Jha Manager

Signer: RACHN.
Date: Thu, Oc
Location: NSE

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Signer: RACHNA JHA
Date: Thu, Oct 30, 2025 17:28:31 IST



National Stock Exchange Of India Limited

Annexure

Regulation	Financial Year	Fine amount per day (Rs.)	Days of non- compliance	Fine amount (Rs.)
34	31-Mar-2025	2000	1	2000
			Total Fine	2000
			GST (@18%)	360
			Total	2360*

^{*} In case the Company is non-compliant as on the date of this letter then fine amount will keep on increasing every day till the date compliance is achieved.

Notes:

- If the fine amount is paid before receipt of this letter, then inform the Exchange accordingly.
- Please update the payment details on below mentioned path: NEAPS > Payment > SOP Fine Payment.
- The above payment may be made vide RTGS / NEFT / Net Banking favouring 'National Stock Exchange of India Limited'. The bank details towards the payment of fine are as follows:

BENEFICIARY NAME	NATIONAL STOCK EXCHANGE OF INDIA LIMITED
BANK NAME	IDBI BANK LTD
	Please refer Unique Account Code used for making Annual Listing fees to the Exchange
BRANCH	BANDRA KURLA COMPLEX, MUMBAI
RTGS/IFSC CODE	IBKL0001000

The fine paid as above will be credited to IPFT as envisaged in the circular.

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