

Pfizer Limited

The Capital, 1802/1901,
Plot No. C - 70, G Block, Bandra Kurla Complex,
Bandra (East), Mumbai 400 051.
Tel : +91 22 6693 2000 Fax : +91 22 2654 0274

April 16, 2021

The Corporate Relationship Dept.
BSE Limited
1st Floor, P.J.Towers
Dalal Street, Fort
Mumbai – 400 001
Scrip Code: 500680

The Manager, Listing Dept.
The National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai – 400 051
Scrip Symbol: PFIZER

Dear Sirs,

Sub: Compliance Certificate - Regulation 40 (9) of the SEBI (LODR) Regulations, 2015

In compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Certificate given by the Practicing Company Secretary, M/s. Manish Ghia & Associates, for the half year ended March 31, 2021.

Thanking you,

Yours truly,

For Pfizer Limited



Prajeet Nair
Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31st MARCH, 2021**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Pfizer Limited** having its registered office at The Capital, 1802/1901, Plot No. C-70, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations, 2015 by **Pfizer Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2021**, the Company:

- a) has issued the Share Certificate(s) relating to the Share Transfer Form(s) received during the period from **1st October, 2020 to 31st March, 2021** (which was earlier lodged prior to **1st April, 2019** and returned due to deficiency), as entered in the Memorandum of Transfers within thirty days from the respective date of lodgement of each Form(s) except those rejected on technical grounds;
- b) has issued the Duplicate Share Certificate(s) in lieu of original lost/ misplaced, relating to request(s) received during the period from **1st October, 2020 to 31st March, 2021** within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- c) has not received any request for split, consolidation, renewal, exchange or endorsement of calls/allotment monies.

For Manish Ghia & Associates

Company Secretaries

(Unique ID: P2006MH007100)



Mannish L. Ghia
Partner

M. No. FCS 6252 C.P. No. 3531
PR 822/2020

Place : Mumbai
Date : April 16, 2021
UDIN: F006252C000108827

Note: We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.