A

Presentation

on



Dhawan & Co.

CHARTERED ACCOUNTANTS

HEAD OFFICE **DELHI**	:	312, Wegmans House 21, Veer Savarkar Block, Vikas Marg, Shakarpur, **Delhi - 110 092** Tel - (011) 2201 7651 Tele - Fax - (011) 2202 5360 Website : www.dhawanco.com Mobile - 98100 - 16650 **E-mail : dpa111@hotmail.com** **fcadeepakkapoor@gmail.com**
BRANCH OFFICES		
SHIMLA	:	Basement, Cozihom, Near The Chalet Day School Mall Road, **Shimla (H.P.)** Tel – 094180-16250
JAIPUR	:	A – 208, Triveni Nagar, Gopalpura Byepass, **Jaipur (Rajasthan)** Tel : (0141) – 2375970 098291 – 77510
MUMBAI		404B, Bajrang Building, Maruti Nagar Complex, Shiva Vallabh Road, Dahisar (East) MUMBAI 68 Tel. 086524-94370
CONSTITUTION OF THE FIRM	:	Partnership
YEAR OF CONSTITUTION	:	1981
NUMBER OF PARTNERS	:	10 (TEN)

DETAIL OF PARTNERS : FCAs – 9,
ACA - 1,
ISA Qualified – 3

Name of Partner	Membership No.	Whether FCA or ACA	Whether ISA	Year of Qualifying	No. of Years Exp.
INDERJEET DHAWAN	81679	FCA	No	1981	33
MAHESH C. GUPTA	70834	FCA	No	1981	33
DEEPAK KAPOOR	72302	FCA	Yes	1986	29
PRAKASH N. MATHUR	86292	FCA	No	1987	28
SUNIL KUMAR DHAWAN	86557	FCA	No	1987	25
SUNIL GOGIA	73740	FCA	Yes	1989	25
SANJAY NIRANWAL*	107326	FCA	Yes	1997	18
RAKESH KUMAR	85244	FCA	No	1986	29
LAVEENA SOBTI	405667	FCA	No	2006	9
ANSHUL ARORA	420979	ACA	No	2012	3

Mr. Sanjay Niranwal had been the Director on the Board of State Bank of Bikaner & Jaipur for a period of 3 years from 09.08.2005 to 08.08.2008.

Mr. Deepak Kapoor has attended a 10 day course on Foresnsic Accounting and Fraud Prevention conducted by the ICAI.

QUALIFIED STAFF *(Chartered Accountants)*	:	**1**
ARTICLE CLERKS / ASSTTS.	:	16
NO. OF OTHER STAFF	:	33

AUDIT OF BANKS

A. STATUTORY AUDITS

1. The firm is empanelled with Reserve Bank of India since 1983 for conducting Statutory Audits of Nationalised Banks and have been regularly conducting Audits of various branches of State Bank of India, Punjab National Bank, Syndicate Bank, Punjab & Sind Bank & State Bank of Patiala, allotted from time to time. ***Till date, we have conducted audit of around 100 branches as Statutory Auditor of branches. The firm shall be in cooling for Statutory Audit for the FY 2014 - 15.***

2. ***The firm is presently the Central Statutory Auditor of Punjab & Sind Bank. The firm has also conducted Central Statutory Audit of State Bank of Mysore having its Head Office at Bangalore for the FY 2008, 2009 and 2010.***

3. The firm is empanelled with NABARD for conducting audits of RRB's. Conducted Statutory Audit of more than 100 branches of Himachal Gramin Bank, Mandi. The firm had been Central Statutory Auditor of Himachal Gramin Bank, Mandi for 3 years.

B. CONCURRENT AUDITS

- ***Central Bank of India***
  - ✓ Presently conducting Mall Road, Shimla Branch since January 2013.

- ***UCO Bank***
  - ✓ Presently conducting Mall Road, Shimla Branch since January 2013.

- ***JSC VTB Bank (A Russian Bank)***
  - ✓ Presently conducting New Delhi branch as well as head office since April 2013.

- ***Union Bank of India***
  - ✓ Conducted Sadar Bazar, New Delhi Branch for the year 2001, 2002 & 2003.
  - ✓ Conducted Mall Road Branch, Shimla for the year 2005
  - ✓ Conducted Noida Branch for 2007 – 08 and 2008 - 09.

- ***Bank of Baroda***
  - ✓ Conducted Concurrent Audit of Greater Kailash Branch, New Delhi from April 2005 to March 2008.

- ***Allahabad Bank***
  - ✓ Conducted Concurrent Audit of East Patel Nagar Branch, New Delhi from October 2005 to September 2007.

- ***Bank of India***
  - ✓ Conducted CGO Complex Branch continuously for 3 years from May 2002 to April 2005.
  - ✓ Conducted Concurrent Audit of Panchsheel Branch, New Delhi since April 2007.
  - ✓ Conducted Concurrent Audit of Chandni Chowk branch, Delhi from October 2009 to September 2012.

- ***Bank of Maharashtra***
  - ✓ Conducted Concurrent Audit of Jaipur Branch from April 2007 to March 2009.

- ***Corporation Bank***

  - ✓ Conducting Concurrent Audit of Connaught Circus Branch, New Delhi from January 2010 to December 2012.

- ***Syndicate Bank***

  - ✓ Conducted Concurrent Audit of Jaipur Branch from October 2008 to September 2011.

- ***Bank of Rajasthan (Now ICICI Bank Limited)***

  - ✓ Conducted Concurrent Audit of Kamla Nagar Branch from January 2010 to December 2011.

C. STOCK AUDITS

The firm specializes in Stock Audits and *is empanelled with Canara Bank, Circle Office, New Delhi & Lucknow, Punjab National Bank, Delhi & Meerut, State Bank of Bikaner & Jaipur, State Bank of India, Corporation Bank, State Bank of Indore, State Bank of Mysore, Lord Krishna Bank, Oriental Bank of Commerce, Dena Bank and Central Bank of India for conducting Stock Audits of their constituents and has vast experience of conducting Stocks & Book Debts Audits.* We give below the name of some of the major Stock Audits / Inspections conducted by the firm:

- ✓ Apollo International Limited, Cochin & Baroda
- ✓ Havells India Limited - Noida, Haridwar, Baddi & Alwar
- ✓ CMC Limited, A Tata Enterprise
- ✓ Saraswati Sugar Mills Limited, Yamuna Nagar (Haryana)
- ✓ Isgec Heavy Engineering Company Limited, Yamuna Nagar & Bahruch
- ✓ Kajaria Ceramics Limited, New Delhi

- ✓ Sunflag Iron & Steel Co. Limited, Nagpur
- ✓ SNL Bearings Limited, Ranchi
- ✓ Jindal Pipes Limited, Jindal Nagar, Ghaziabad
- ✓ Amtek India Limited
- ✓ Cyber Media India Limited
- ✓ Deepak Spinners Limited, Chandigarh, Guna (M.P) and Baddi (H. P)
- ✓ Sanganeriya Spinning Mills Limited, Neemrana, Rajasthan
- ✓ JFK International, Cochin & Coimbatore
- ✓ Rajasthan Explosives & Chemicals Limited, Dholpur, Rajasthan
- ✓ Sharp Menthol India Limited, Delhi
- ✓ DLF Limited
- ✓ Minda HUF Limited
- ✓ Indo Alusys Limited
- ✓ Bridal Jwellery Manufacturing Co. Limited
- ✓ Swastil Pipes Limited
- ✓ ISGEC Heavy Engineering Limited
- ✓ Saraswati Sugar Limited
- ✓ ISGEC Hitachi Zosen Limited

AUDITS OF LIFE INSURANCE CORPORATION

The firm is empanelled with Life Insurance Corporation of India (LIC) and is Statutory Auditor for Rohtak Division of LIC for the financial year 2010 – 11, 2011 – 12 and 2012 – 13. The firm is now in cooling period with Life Insurance Corporation of India.

AUDITS OF PUBLIC SECTOR UNDERTAKINGS

A. STATUTORY AUDITS

The firm is empanelled with Comptroller & Auditor General of India for more than 15 years and has conducted almost all Statutory Audits of Public Sector Undertakings in Himachal Pradesh. **The firm, at present, is Statutory Auditor of Housing & Urban Development Corporation Limited.** The names of major Statutory Audits of PSUs conducted by the firm are as follows:

- **Canara Robeco Asset Management Company Limited. (FY 2012 - 13)**
- **Indian Railways Finance Corporation Limited (IRFC) (FY 2008-09, 2009-10, 2010-11, 2011-12);**
- **Mahanagar Telephone Nigam Limited (FY 2005 – 06, 2006 – 07, 2007 – 08);**
- **Himachal Pradesh Agro Industries Limited;**
- **H. P. Civil Supplies Corporation Limited;**
- **The H. P. State Industrial Development Corporation Limited;**
- **Himachal Pradesh Horticulture Produce Marketing & Processing Corporation Limited (HPMC);**
- **Himachal Pradesh Financial Corporation Limited;**

- **Himachal Pradesh Tourism Development Corporation Limited;**

- **H.P. Forest Packaging Corporation Limited;**
- **H.P. Handicraft & Handloom Corporation Limited;**

- **H. P. Schedule Caste & Schedule Tribe Corporation Limited;**

B. INTERNAL AUDITS

The firm has been regularly conducting Internal Audits of Public Sector Undertakings (PSUs) and other Private Organisations and has conducted Internal Audits of following Companies:

B.1 PUBLIC SECTOR UNDERTAKINGS (PSUs)

- ***Power Grid Corporation Limited:***

  Conducted Internal Audit for the year 2013. The company is engaged in bulk power transmission. Their responsibilities include planning, coordination, supervision and control over inter-State transmission system and operation of National & Regional Power Grids. The company has a turnover of over Rs. 10,000 crore.

- ***Central Warehousing Corporation:***

  Conducted Internal Audit for the year 2013. It provides services in the area of storage and handling, clearing & forwarding, handling & transportation, procurement & distribution, disinfestation services, fumigation services and other ancillary activities. The company has a turnover of over Rs. 1200 crore.

- ***Himachal Pradesh Agro Industries Corporation Limited :***

Conducted Internal Audit from the years 2002 to 2006. The company is engaged in manufacturing and trading of pesticides, Animal feeds, Honey, Bitumen etc. and has a turnover of over Rs. 15 Crores.

- ***H. P. Civil Supplies Corporation Limited :***

  Continuously conducting right from the year 1997 on quarterly basis. The company is engaged in Procurement and distribution of essential commodities and has a turnover of Rs. 6 Crores.

- ***Himachal Pradesh Horticulture Produce Marketing & Processing Corporation Limited (HPMC) :***

  Conducting Internal Audit from the year 1995, on quarterly basis. The company is engaged in manufacturing of processed fruits, Jams and Juices and has a turnover of Rs. 20 Crores.

- ***H. P. Forest Corporation Limited :***

  The firm is continuously conducting Internal Audit of forest corporation since 2001. The company is engaged in Extractive carriage and setting of Timber & other forest production and has a turnover of Rs. 100 Crores.

- ***Bharat Sanchar Nigam Limited :***

  The firm has conducted Internal Audit of Shimla region of BSNL from the year 2001 to 2005. The company is engaged in Basic Telephony, Mobile and Broadband services.

- ***Himachal Consultancy Organisation***

  The firm is Internal Auditor for this corporation since 1988. The company is engaged in Corporate consultancy, Training and survey etc. and has a turnover of Rs. 1.50 Crores.

B.2 PRIVATE ORGANISATIONS

- ***The Lakshmiji Sugar Mills Company Limited***

  The Group is engaged in manufacture of Agro Based products. The firm is Internal Auditor for The Lakshmiji Sugar Mills Company Limited and other Group companies for the last more than a decade. The Group has a turnover of Rs. 100 Crores.

- ***Automarts India Limited (A Mahindra & Mahindra Group company)***

  The firm was Internal Auditor for Delhi outlet of the company for the year 2004 and 2005. The company is engaged in trading of certified used cars of all makes and has a turnover of over 300 crores.

- ***Japan Tobacco International Limited – A Singapore Based MNC***

  The firm has been conducting Internal Audit of JTI for the last one and a half year. The company has its Head Office at Bangalore and manufacturing operations at Hyderabad. JTI is engaged in manufacturing of Cigarettes with their Internationally renowned brand name Camel, Winston, and More.

- ***Amtek Rail Car Industries Limited (ARIPL)***

  The firm Dhawan & Co. [DC] has been conducting Internal Audit of Amtek Rail Car Industries Limited since the FY 2012. The company is a 50 : 50 joint venture between Amtek Group of India and American Rail Car Industries Limited. The company has commissioned a plant for

manufacture of Railway Wagons at Sadhugarh, Distt : Fatehgarh Sahib (Pb) with a total outlay of Rs. 400 crore.

- ***Modtech Projects India Private Limited (MPIPL)***

The firm has a long association with Modtech Projects India Private Limited and has been continuously providing management advisory services to the company besides being their Statutory Auditor. MPIPL is engaged in execution of turnkey projects in the field of Bulk Material Handling and has executed a number of projects for the companies like Sterlite, Aditya Birla Group, Escorts, etc. The firm has an in-depth knowledge of auditing of project related activities.

Besides this, the firm is engaged in providing Management related services to a number of companies and has been providing Management Services to the companies like Marg Limited and Japan Tobacco International Limited.

AUDITS OF SOCIETIES / TRUSTS

The firm has provided its professional services to a number of trusts and societies in the past and at present also is engaged in providing its services to a number of societies / trusts. The detail of the same is given hereunder:

- ***Engineers India Limited (EIL) Employees Provident Fund Trust***

This is a trust belonging to Engineers India Limited and has a very good Corpus amount contributed by thousands of employees of EIL and the EIL for taking care of the provident fund contribution of the employees of EIL.

- ***Engineers India Limited (EIL) Gratuity Trust***

This is a trust belonging to Engineers India Limited and has a very good Corpus amount contributed by EIL for payment of Gratuity to the employees of EIL.

- ***IA & AS Officers Sahkari Awas Samiti Limited***
  This is a housing society belonging to the employees of Comptroller & Auditor General of India (CAG).
- ***Authors Guild of India (AGI)***
  This is an association of all authors in India having over 1500 members. It has its Head Office in New Delhi. At one point of time, this was headed by Dr. Karan Singh. Presently, the same is headed by Mr. Avasthi.
- ***Institute of World Congress on Human Rights***
  A part of the World Human Rights Commission, this was founded in the year 1995 with the main object of helping the human beings in recognizing their rights. It has its Head Office in JNU, New Delhi.
- ***Institute of Personnel Management (IPM)***
  With its Head Quarters in Calcutta – India, IPM is mainly engaged in framing HR policies. It has over 1000 members.
- ***The Kangra Co-operative Bank Limited***
  A co-operative bank registered with the Registrar of Co-operative Societies, New Delhi and Reserve Bank of India. The bank is a profit making dividend paying bank and has over 40,000 members.
- ***H.P. Zoos Conservation Breeding Society***
  The society is well known for conserving rare breeds.

- ***Inapex Employees Mutual Benefit Fund***
  Formed in the year 2002, the trust is engaged in investing the savings of employees of Inapex Limited, its subsidiaries and associates so as to get the highest financial returns.

- ***Centralised Accident & Trauma Services (CATS)***
  Centralised Accident & Trauma Services (CATS) is an autonomous body of Government of NCT of Delhi. The society is known for its dedication and commitment to saving lives of accident & trauma victims and mitigating human sufferings.

- ***Besides above, the firm has association with following medium sized societies / Trusts:***
  - *Vivekanand Co-operative Group Housing Society Limited.*

- *BALCO Co-operative Group Housing Society Limited*
- *Harak Chandra Nirmal Kumar Jain Charitable Trust*
- *Vriddhi Vriksh Charitable Foundation*
- *Rama Krishna Public School*
- *Chetna Co-operative Group Housing Society Limited.*