

# G K K Capital Markets Private Limited

Members : The Calcutta Stock Exchange Association Ltd.

Code No. 03/438 SEBI Reg. No. INB 030974733

Dated : 24.11.2020

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|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| 1) National Stock Exchange Limited<br>Exchange Plaza , 5 <sup>th</sup> . Floor , Plot No. C/1<br>G – Block , Bandra Kurla Complex<br><u>Bandra (E) , Mumbai – 400 051 .</u> | 3) Prime Securities Limited<br>1109 / 1110 , Maker Chambers - V<br><u>Nariman Point , Mumbai – 400 021.</u> |
| 2) Bombay Stock Exchange Limited<br>Phiroze Jeejeebhoy Towers<br><u>Dalal Street , Mumbai – 400 001.</u>                                                                    |                                                                                                             |

Dear Sir ,

**Re : Declaration pursuant to Regulation 7 (1) of SEBI ( Substantial Acquisition of Shares & Takeover ) Regulations , 1997 and Regulation 13 (1) of the SEBI ( Prohibition of Insider Trading ) Regulations , 1992 .**

This is to inform you that we have acquired 100000 Equity Shares of Prime Securities Limited .

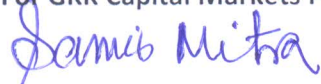
After this acquisition , our Aggregate holding with persons acting in concert with us 2900000 Equity Shares 10.94 % of the total Shareholding of Prime Securities Limited .

This may be treated as declaration pursuant to Regulation 7 (1) of SEBI ( Substantial Acquisition of Shares & Takeover ) Regulations , 1997 and Regulation 13 (1) of the SEBI ( Prohibition of Insider Trading ) Regulations , 1992 .

Thanking you

Yours faithfully

For GKK Capital Markets Pvt Ltd



Authorised Signatory



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

## Format for Disclosures under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

### Part-A- Details of the Acquisition

|                                                                                                                                                                          |                                                 |                                                            |                                                            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|
| Name of the Target Company (TC)                                                                                                                                          | PRIME SECURITIES LTD                            |                                                            |                                                            |
| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer                                                                                            | GKK CAPITAL MARKETS PVT LTD<br>NAVIN KHANDELOAL |                                                            |                                                            |
| Whether the acquirer belongs to Promoter/Promoter group                                                                                                                  | NO                                              |                                                            |                                                            |
| Name(s) of the Stock Exchange(s) where the shares of TC are Listed                                                                                                       | NSE / BSE                                       |                                                            |                                                            |
| Details of the acquisition as follows                                                                                                                                    | Number                                          | % w.r.t. total share/voting capital wherever applicable(*) | % w.r.t. total diluted share/voting capital of the TC (**) |
| <b>Before the acquisition under consideration, holding of acquirer along with PACs of:</b>                                                                               |                                                 |                                                            |                                                            |
| a) Shares carrying voting rights                                                                                                                                         | 2500000                                         | 9.43                                                       | 9.43                                                       |
| b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)                                                                                  |                                                 |                                                            |                                                            |
| c) Voting rights (VR) otherwise than by equity shares                                                                                                                    |                                                 |                                                            |                                                            |
| d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) |                                                 |                                                            |                                                            |
| e) Total (a+b+c+d)                                                                                                                                                       |                                                 |                                                            |                                                            |
| <b>Details of acquisition</b>                                                                                                                                            |                                                 |                                                            |                                                            |
| a) Shares carrying voting rights acquired                                                                                                                                | 400000                                          | 1.51                                                       | 1.51                                                       |
| b) VRs acquired otherwise than by equity shares                                                                                                                          |                                                 |                                                            |                                                            |
| c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each           |                                                 |                                                            |                                                            |



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                      |       |       |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|-------|-------|
| category) acquired<br>d) Shares in the nature of encumbrance (pledge/ lien/<br>non-disposal undertaking/ others)<br>e) Total (a+b+c+/-d)                                                                                                                                                                                                                                                                                                                                               |                      |       |       |
| <b>After the acquisition, holding of acquirer along with<br/>PACs of:</b><br><br>a) Shares carrying voting rights<br>b) VRs otherwise than by equity shares<br>c) Warrants/convertible securities/any other instrument<br>that entitles the acquirer to receive shares carrying<br>voting rights in the TC (specify holding in each<br>category) after acquisition<br>d) Shares in the nature of encumbrance (pledge/ lien/<br>non-disposal undertaking/ others)<br>e) Total (a+b+c+d) | 2900000              | 10.94 | 10.94 |
| Mode of acquisition (e.g. open market / public issue / rights<br>issue / preferential allotment / inter-se<br>transfer/encumbrance, etc.)                                                                                                                                                                                                                                                                                                                                              | OPEN MARKET.         |       |       |
| Salient features of the securities acquired including time till<br>redemption, ratio at which it can be converted into equity<br>shares, etc.                                                                                                                                                                                                                                                                                                                                          | NA                   |       |       |
| Date of acquisition of/ date of receipt of intimation of<br>allotment of shares / VR/ warrants/convertible securities/any<br>other instrument that entitles the acquirer to receive shares<br>in the TC.                                                                                                                                                                                                                                                                               | 13.11.19 TO 24.11.20 |       |       |
| Equity share capital / total voting capital of the TC before<br>the said acquisition                                                                                                                                                                                                                                                                                                                                                                                                   | RS.1329.94 LAC       |       |       |
| Equity share capital/ total voting capital of the TC after the<br>said acquisition                                                                                                                                                                                                                                                                                                                                                                                                     | RS. 1329.94 LAC      |       |       |
| Total diluted share/voting capital of the TC after the said<br>acquisition                                                                                                                                                                                                                                                                                                                                                                                                             | 26515325             |       |       |



# भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

Part-B\*\*\*

Name of the Target Company:

PRIME SECURITIES LTD

| Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer | Whether the acquirer belongs to Promoter/ Promoter group |
|-------------------------------------------------------------------------------|----------------------------------------------------------|
| GKK CAPITAL MARKETS PVT LTD                                                   | NO                                                       |
| NAVIN KHANDELWAL                                                              | NO                                                       |
| For GKK CAPITAL MARKETS PVT LTD                                               |                                                          |

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Signature of the acquirer / Authorised Signatory

Place: KOLKATA

Date: 24.11.20

Note:

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

(\*\*\*) Part-B shall be disclosed to the Stock Exchanges but shall not be disseminated.