

August 21, 2025

To

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 533344	National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 400051 Scrip Symbol: PFS
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Subject: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Update on Orders of Regional Director (North), MCA

Dear Sir/ Madam,

Further to our communication dated August 13, 2025 (annexed), related to disposal of all three appeals (filed against the Adjudication Orders of RoC for the matters related to the Financial Year 2021-22), by Regional Director (North), Ministry of Corporate Affairs (“RD”), we wish to update that the Company has paid the total penalty of Rs. 6.40 Lakh against the said three Orders, to finally close the matter.

This letter is also available on the website of the Company at www.ptcfinancial.com.

This is for your information and further dissemination please.

Yours faithfully,

For PTC India Financial Services Limited

Manohar Balwani
Company Secretary

August 13, 2025

To

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400001 Scrip Code: 533344	National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra- Kurla Complex, Bandra (East), Mumbai- 400051 Scrip Symbol: PFS
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Subject: Disclosure under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Update on Show Cause Notices under Appeal with Regional Director (North), MCA

Dear Sir/ Madam,

Further to our communications dated June 28, 2023 and December 31, 2024, regarding the update on three Show Cause Notices issued by the Registrar of Companies, NCT of Delhi & Haryana, on the matters related to the Financial Year 2021-22, which were under appeal before the Regional Director (North), Ministry of Corporate Affairs (“RD”), and pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the RD, vide the following orders, has dismissed and disposed of all three appeals:

1. Order No. RD(NR)/Adj454/149/2025/4679 dated July 25, 2025
2. Order No. RD(NR)/Adj454/149/2025/4676 dated July 25, 2025
3. Order No. RD(NR)/Adj454/178/2025/4673 dated July 25, 2025

Relevant details on the above as per SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, are given below:

Sr. No.	Particulars	Details
i.	Name of the Authority	Regional Director (North), Ministry of Corporate Affairs (“RD”)
ii.	Nature and details of the action(s) taken or order(s) passed	RD vide its Orders dated July 25, 2025 has dismissed and disposed of all three appeals filed by the Company. Accordingly, the following penalty imposed by the Registrar of Companies, NCT of Delhi & Haryana, for contravention during the Financial Year 2021-22, continues to subsist: <ul style="list-style-type: none"> • Penalty of Rs. 70,000 to the Company for violation of Section 149(8) read with Schedule IV, Para III(2) and Section 172 of the Companies Act, 2013 • Penalty of Rs. 70,000 to the Company for violation of Section 149(8) read with Schedule IV, Para III(2) of the Companies Act, 2013 • Penalty of Rs. 5,00,000 to the Company for violation of Section 178(1) of the Companies Act, 2013.

PTC India Financial Services Ltd. (CIN: L65999DL2006PLC153373)

(A subsidiary of PTC India Limited)

Registered Office: 7th Floor, Telephone Exchange Building, 8 Bhikaji Cama Place, New Delhi - 110 066, India

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Sr. No.	Particulars	Details
iii.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	13 th August 2025 at 2:50 PM
iv.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Violations, with respect to the following, related to the Financial Year 2021-22 as per the RD Orders: <ul style="list-style-type: none"> Failed to facilitate the then Independent Directors in seeking professional legal advice thereby breached obligations under Section 149(8) read with Schedule IV, Para III(2) and Section 172 of the Companies Act, 2013 Delay to share certain documents with the then Independent Directors for obtaining legal opinion thereby violation of Section 149(8) read with Schedule IV, Para III(2) of the Companies Act, 2013 Failed to maintain prescribed composition of NRC in violation of Section 178(1) of the Companies Act, 2013
v.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact financially, operationally or otherwise on the Company, except to the extent of Rs. 6.40 Lakh, as detailed above, for which necessary provisions have already been created in the books of accounts.

This letter is also available on the website of the Company at www.ptcfinancial.com.

This is for your information and further dissemination please.

Yours faithfully,

For PTC India Financial Services Limited

Manohar Balwani
Digitally signed by
Manohar Balwani
Date: 2025.08.13
15:51:04 +05'30'
Manohar Balwani
Company Secretary