

May 30, 2023

The Manager, Listing Department
The National Stock Exchange of India Ltd.
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051
NSE Symbol : PANACEABIO

BSE Limited
Corporate Relationship Department,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001
BSE Scrip Code: 531349

Sub: Confirmation regarding dispatch of Reminder Letters to holders of physical securities for furnishing of PAN, KYC details and nomination

Ref:- SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated 16.03.2023

Dear Sir / Madam,

In compliance to the aforesaid SEBI Circular, please note that Company has sent reminder letters on May 12, 2023 through registered post, to the shareholders of physical securities for furnishing PAN, KYC details and nomination along with request to dematerialize the shares with Depository Participant.

A copy of the reminder letter is enclosed herewith for your reference. Kindly take the same on records.

Thanking you,
Sincerely yours,
For **Panacea Biotec Ltd.**

VINOD
GOEL
Digitally signed
by VINOD GOEL
Date:
2023.05.30
17:30:12 +05'30'

Vinod Goel
Group CFO and Head Legal
& Company Secretary

Encl. As above

Shareholder's Communication

May 11, 2023

To, Folio No.: Serial No.

Name of Shareholder:
JT1:
Address:

Sub: Reminder Letter for mandatory registration / updation of PAN, KYC and nominee details by holders of physical securities

Dear Shareholder,

1. The Securities and Exchange Board of India ("SEBI") had, vide its Circular Nos. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 & SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/687 dated 03.11.2021 and 14.12.2021, respectively, prescribed the common & simplified norms for processing the investors' service request by RTA's and accordingly mandated all the physical shareholders to **furnish the information of PAN, KYC and nominee details [more particularly as mentioned herein below]**.

Recently, SEBI has now come with another Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated 16.03.2023 and allowed the physical shareholders to **furnish the required details / documents as stated above, on or before October 01, 2023**. Accordingly, those shareholders whose folio(s) do not have complete details as aforesaid or where there is any change in details provided earlier, have to compulsorily furnish the details to Skyline Financial Services Pvt. Ltd. ("RTA") / Company for registration / updation.

Mandatory Details

- a) PAN;
- b) Nomination details;
- c) Postal Address [with pincode];
- d) Mobile Number;
- e) Email address;
- f) Bank account details; and
- g) Specimen Signature

In this regard, intimation letters have already been sent to the shareholders at their latest address available with the Company. However, as per the records available with us, your aforesaid details are still awaited. Accordingly, you are once again requested to submit the following forms along with self-attested copies of supporting documents for all the details marked as "Required" in the attached KYC Form to the RTA or the Company immediately:-

Sr. No.	Documents / details to be provided	Form
1.	Request for registering PAN, KYC details or changes / updation thereof	ISR-1
2.	Bankers attestation of signature	ISR-2
3.	Nomination details	SH-13
4.	Declaration for opting-out of Nomination	ISR-3

You are requested to kindly send the aforesaid details along with the enclosures latest by June 30, 2023 to the Company / RTA.

Consequences of Non-furnishing of above mandatory details by October 01, 2023

- a) Folios wherein any one of the above mentioned documents / details are not made available on or after October 01, 2023, *shall be frozen*.

Panacea Biotec Ltd (CIN: L33117PB1984PLC022350)

Regd. Office : Ambala Chandigarh Highway, Lalru – 140501, Punjab

Correspondence/Corporate office : B-1 Extn./G-3, Mohan Co-op. Indl. Estate, Mathura Road, New Delhi - 110 044, India

Ph.: 91-11-4167 9000, 4167 8000, Fax: 91-11-4167 9070 Email: companysec@panaceabiotec.com

- b) The frozen folios shall be referred to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and / or Prevention of Money Laundering Act, 2022, after December 31, 2025.
- c) Pending receipt of above-mentioned documents / details, shareholders shall not be eligible to:
 - (i) lodge grievance / avail service request from the RTA;
 - (ii) receive any payment including dividend in physical mode

The aforesaid Forms can also be downloaded from the Company's and RTA's website at www.panaceabiotec.com>InvestorZone>OtherImportanceInformation and <https://www.skylinerta.com/investor-charter.php> , respectively.

Alternatively, the documents can also be sent on email id of the Company / RTA at companysec@panaceabiotec.com or grievances@skylinerta.com, respectively only through your registered email address.

Conversion into Dematerialised Mode

Shareholders holding shares in physical form are requested to note that Company's securities are being traded only in dematerialised form and accordingly every physical shareholder is hereby requested to convert their holdings into dematerialised form and avail the benefits of dealing in shares in demat form. Accordingly, shareholders holding shares in physical may contact the RTA / Company for assistance in converting their holding to demat form.

In case of any queries or need any assistance in this regard, please contact:

Panacea Biotec Limited Corporate Address: B-1 Extn./G-3 Mohan Co-Operative, Indl. Estate, Mathura Road, New Delhi – 110044 Email: companysec@panaceabiotec.com Phone No. 011-41679000	Skyline Financial Services Private Limited Unit: Panacea Biotec Limited D-153A, 1st Floor, Okhla Industrial Area, Phase-I, New Delhi-110020 Email: grievances@skylinerta.com Phone No. 011-40450193-97
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Thanking you,

Yours Sincerely,

for **Panacea Biotec Limited**

Sd/-

Authorised Signatory

Form ISR – 1

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF [For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date: ___ / ___ / ____

A. I / We request you to Register / Change / Update the following (Tick ☐ relevant box)

☐ PAN	☐ Bank details	☐ Signature
☐ Mobile number	☐ E-mail ID	☐ Address
Kindly Quote the Serial no. as printed in your KYC form		

B. Security Details:

Name of the Issuer Company		Folio No.:
Name(s) of the Security holder(s) as per the Certificate(s)	1. 2. 3.	
Number & Face value of securities		
Distinctive number of securities (Optional)	From	To

C. I / We are submitting documents as per Table below (tick ☐ as relevant, refer to the instructions):

Name of all the Security holder(s) in Capital as per PAN (<i>Copies of Pan of all the Holder(s) duly self-attested with date to be enclosed with this Form</i>)	PAN	Whether PAN is linked to Aadhaar (Yes / No)
1.		
2.		
3.		

Note: PAN shall be valid only if it is linked to Aadhaar by March 31, 2023 or any other date as may be specified by CBDT

To know the status of your PAN Linked to Aadhaar check on this link:
<https://www.incometax.gov.in/iec/foportal>

Demat Account Number	16 digit DP / CL ID []
Email ID*	
Mobile No.*	

Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant

**In case it is not provided, the details available in the CML will be updated in the folio*

Bank Account Details of First Holder	
Name of the Bank & Branch	
IFSC	
Bank A/c No.	

Note: Original cancelled cheque leaf bearing the name of first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details

Address of First Holder if there is any change	
Address	
Pin Code	

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio(s) in which I / We are the holder(s) (strike off what is not applicable).

Declaration: All the above facts stated are true and correct.

	First Holder	Joint Holder 1	Joint Holder 2	Joint Holder 3
Signature				
Name				
Full address				
PIN				

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf

I/We are submitting documents as per Table below (tick ☒ as relevant, refer to the instruction):

No.	☒	Document / Information / Details	Instruction / Remark
1.	☐	PAN of (all) the (joint) holder(s)	<i>Pan copies of all the holder(s) duly self-attested with date to be enclosed.</i> PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI Circular.
2.	☐	Demat Account Number	Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant
3	☐	Proof of Address of the first holder	<p>Provide Self attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;</p> <ul style="list-style-type: none"> ☐ Client Master List (CML) of your Demat Account, provided by DP. ☐ Valid Passport/ Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill.* ☐ Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old. ☐ Identity card(with photo) / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. ☐ For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. ☐ The proof of address in the name of the spouse* <p>Kindly provide additional self-attested copy of identity proof of the holder / claimant.</p>
4.	☐	Bank details	Provide the latest copy of the bank statement with details of bank name, branch, account number and IFSC or Original cancelled cheque leaf bearing the name of first holder. Alternatively, Bank details available in the CML as enclosed will be updated in the folio.
5.	☐	E-mail address	As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio.
6.	☐	Mobile	As mentioned on Form ISR-1, alternatively the Mobile No. available in the CML as enclosed will be updated in the folio.
7.	☐	Specimen Signature	Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 and original cancelled cheque with name of security holder printed on it or Bank Passbook or Bank Statement attested by the Bank
8	☐	Nomination	<p>Submit Form(s) as per any ONE of the following options:-</p> <ul style="list-style-type: none"> ☐ Form SH-13 for First Time Nomination ☐ Form SH-14 for Change in Existing Nomination ☐ Form SH-14 and ISR-3 for Cancellation of Existing Nomination and to “Opt-Out” ☐ ISR-3 to “Opt-Out” of Nomination or if No-Nomination is required

Form ISR – 2

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

Confirmation of Signature of securities holder by the Banker

1. Bank Name and Branch			
2. Bank contact details Postal Address Phone number E-mail address			
3. Bank Account number			
4. Account opening date			
5. Account holder(s) name(s)	1)		
	2)		
	3)		
6. Latest photograph of the account holder(s)			
	<div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; padding: 10px; text-align: center;">1st Holder</div> <div style="border: 1px solid black; padding: 10px; text-align: center;">2nd Holder</div> <div style="border: 1px solid black; padding: 10px; text-align: center;">3rd Holder</div> </div>		
7. Account holder(s) details as per Bank Records			
a) Address			
b) Phone number			
c) Email address			
d) Signature(s)			
1)	2)	3)	
Signature verified as recorded with the Bank			
Seal of the Bank			
	(Signature)		
Place:	Name of the Bank Manager		
	Employee Code		
Date:	E-mail address		

Form ISR - 3

Declaration Form for Opting-out of Nomination by holders of physical securities in Listed Companies

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021)

Serial No.: _____
(as mentioned in KYC Form)

Name of the Company :

Registered Address of the Company:

I / We the holder(s) of the securities particulars of which are given hereunder, **do not wish to nominate** any person(s) in whom shall vest, all the rights in respect of such securities in the event of my /our death.

PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

Nature of Securities Tick ✓ as relevant	Folio No.	No. of Securities	Certificate No.	Distinctive No. (From – To)
Equity				

I/ we understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents / details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities.

	First Holder	Joint Holder-1	Joint Holder 2	Joint Holder 3
Signature				
Name				

Witness Details:

Name of Witness		Signature	
Address of Witness		Date	
	Pin: _____		

*Use of ISR-3 (i.e. to Opt-Out of Nomination OR if “No_Nomination is required by the Investor) will be applied for the entire securities against the said Folio.

Form No. SH-13

Nomination Form

[Pursuant to section 72 of the Companies Act, 2013 and rule 19(1) of the Companies (Share Capital and Debentures) Rules, 2014]

Serial No.: _____
(as mentioned in KYC Form)

To

Name of the Company: Panacea Biotec Limited

Address of the Company: B-Extn./ G-3, Mohan Co-operative Indl. Estate. Mathura Road, New Delhi - 110044

I/We the holder(s) of the securities particulars of which are given hereunder, wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made):

Nature of Securities Tick ✓ as relevant	Folio No.	No. of Securities	Certificate No.	Distinctive No. (From – To)
Equity				

2) PARTICULARS OF NOMINEE(S) – (Use photocopies of this blank nomination form in case of additional Multiple Nomination in the same folio)

Name of Nominee		Date of Birth	
Father's/Mother's/Spouse's name		Occupation	
Nationality		Address	
E-mail id		Relationship with the security holder	

3) IN CASE NOMINEE IS A MINOR

Name of Guardian		Date of birth	
Date of attaining majority		Address of Guardian	

	First Holder	Joint Holder-1	Joint Holder 2	Joint Holder 3
Signature				
Name				

Witness Details:

Name of Witness		Signature	
Address of Witness		Date	
Pin: _____			