



NEWGEN

Newgen Software Technologies Limited

CIN: L72200DL1992PLC049074

E-44/13, Okhla Phase-II, New Delhi-110 020, INDIA

Tel: +91-11-4653 3200 Fax : +91-11-2638 3963

Email: corpmktg@newgensoft.com URL: <https://newgensoft.com>

Date: 14th October, 2019

To, BSE Limited PhirozeJeejeebhoy Towers, Dalal Street, Mumbai – 400001 <u>Fax No. 022-22721919</u>	To, National Stock Exchange of India Limited Exchange Plaza, Plot No. C/1, G Block, Bandra- Kurla Complex, Bandra (E), Mumbai – 400051 <u>Fax No. 022-26598120</u>
Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017) Scrip Code - 540900	Ref.: Newgen Software Technologies Limited (NEWGEN/INE619B01017)

Sub.: Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015 for the half year ended on 30th September, 2019 issued by Practising Company Secretary

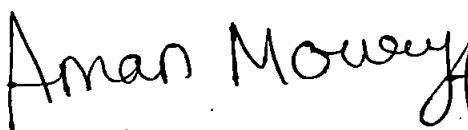
Dear Sir/ Ma'am,

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015, we are enclosing herewith the Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 dated 9th October, 2019 received by Company from M/s Kundan Agrawal & Associates, Practising Company Secretary Firm for the half year ended 30th September, 2019.

Kindly take the same on your record.

Thanking you,

For Newgen Software Technologies Limited


Aman Mourya
Company Secretary and Compliance Officer



Encl.: a/a



CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2019

We, have examined all the records, Registers, files and other documents relating to **M/s NEWGEN SOFTWARE TECHNOLOGIES LIMITED (CIN: L72200DL1992PLC049074)** maintained by **M/s Karvy Fintech Private Limited (earlier known as Karvy Computershare Private Limited)** having its office at Karvy Selenium, Tower-B, Plot No. 31 & 32 Gachibowli, Financial District, Nanakramguda, Hyderabad-500032, pertaining to Equity Shares of the Company for the period beginning from **01/04/2019 to 30/09/2019 ("the relevant period")** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulation, 2015.

Based on the information provided by the Company and as per our examination of records of the Company, in our opinion and to the best of our information and according to the explanations given to us, we hereby certify that there were no requests relating to transfer, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies were received during the relevant period.

For Kundan Agrawal & Associates
Company Secretaries
FRN: S2009DE113700



Kundan Agrawal
Company Secretary
C.P. No. 8325
UDIN: F007631A000038959

Place: New Delhi
Date: 09/10/2019