

NWML/SEC/2025/125

January 15, 2025

The Manager, The Manager,

Listing Department, Listing Department,

BSE Limited, National Stock Exchange of India Ltd.,
Phiroze Jeejeebhoy Exchange Plaza, 5th Floor, Plot C/1, G Block,

Tower, Dalal Street, Bandra - Kurla Complex, Bandra (E),

Mumbai - 400 001. Mumbai - 400 051.

BSE Scrip Code: 543988 NSE Symbol: NUVAMA

Dear Sir(s) / Madam(s),

<u>Subject: - Certificate under Regulation 74(5) of Securities and Exchange Board of India</u> (Depositories and Participants) Regulations, 2018

Please find enclosed certificate received from MUFG Intime India Private Limited, (formerly known as "Link Intime India Private Limited") Registrar and Share Transfer Agent of the Company, for the quarter ended December 31, 2024. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For Nuvama Wealth Management Limited

Sneha Patwardhan Company Secretary and Compliance Officer

Encl: as above



MUFG Intime India Pvt. Ltd.

CIN: U67190MH1999PTC118368 C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400 083

Phone: +91 22 4918 6000 Fax: +91 22 4918 6060

Email: mumbai@linkintime.co.in Website: www.linkintime.co.in

To.

Date: 06-01-2025

The Compliance Officer/ Company Secretary NUVAMA WEALTH MANAGEMENT LIMITED 801- 804, WING A, BUILDING NO. 3, INSPIRE BKC, G BLOCK BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI

MUMBAI

PINCODE: 400051

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and

Participants) Regulations, 2018.

Dear Sir/Madam.

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December 2024, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully.

For MUFG Intime India Pvt. Ltd

(Formerly Known as Link Intime India Pvt. Ltd.)

Ashok Shetty

Vice President-Corporate Registry