

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/Nse/19-20/cl40(9)/004

10 April, 2019

**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
✓ Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**

**Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year
ended 31 March 2019**

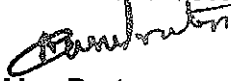
Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate issued by Mr. C.B. Mishra, Practicing Company Secretary for half year ended 31 March 2019.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.


**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**

Encl:a/a



C.B. Mishra & Associates
Company Secretaries

Unique Code Number : I2006DE551100

**Certificate under regulation 40(9) of Securities and Exchange
Board of India (Listing Obligations and Disclosure Requirements)
Regulations, 2015 for the Half Year ended 31st March, 2019**

We have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Almondz Global Securities Limited having Regd. Office at 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110 001 maintained by Beetal Financial & Computer Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer of fully paid Equity Shares of the Company for the period from 1st October, 2018 to 31st March, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company.

We hereby certify that :

A) 1.a. the Company has delivered during half year ended on 31st March, 2019 share certificates relating to the transfer of shares received during the period from 1st October, 2018 to 31st March, 2019 within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;

1.b. the Company has delivered during half year ended on 31st March, 2019 share certificates in respect of requests relating to sub-division (split), consolidation and renewal received during the period from 1st October, 2018 to 31st March, 2019, within thirty days from the respective date of lodgment excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to exchange or endorsement of calls / allotment monies received during the said period.

B) Any other information, if applicable: ---

Place: New Delhi

Date : 10/04/2019

For C.B. Mishra & Associates
Company Secretaries

(C.B. MISHRA)
Prop.