

RAIL: SEC: 2015

November 14, 2015

The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza
5th Floor, Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai – 400 051

Ref: Symbol – RICOAUTO & Series – EQ

Sub: Disclosure under the SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

We are furnishing the following information which have been disclosed by the following persons, part of Promoter Group, after change in their shareholding as per Regulation 7(2) read with Regulation 6(2).

A. Form No. C for the following:

1. Ms. Shikha Kapur – Promoter Group
2. Mrs. Shalini Kapur– Promoter Group

The above information may also be treated as per the Listing Agreement and other applicable rules and regulations.

Thanking you,

Yours faithfully,
for Rico Auto Industries Limited


B.M. Jhamb
Company Secretary

Encl: As above

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7(2) read with Regulation 6(2) – Continual Disclosure]

Name of the company: Rico Auto Industries Limited

ISIN of the company: INE209B01025

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

Name, PAN No., CIN/DIN & address with contact nos.	Category of Person (Promoters/ KMP/ Directors/ Immediate Relatives to/ others etc.)	Securities held prior to acquisition/disposal		Securities acquired/disposed			
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and %age of Shareholding	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (buy/ sale/pledge/ revoke/ invoke)
1	2	3	4	5	6	7	8
Shikha Kapur PAN – AKMPK1214E Rico Auto Industries Limited 38 K.M. Stone, Delhi-Jaipur Highway, Gurgaon – 122001 01242824225	Promoters	Shares	379968 (0.28% of the paid up share capital)	Shares	379968	N.A.	Disposed by way of gift to Mrs. Shalini Kapur

Securities held post acquisition/ disposal		Date of Allotment advice/ acquisition of shares/sale of shares specify		Date of Intimation to Company	Mode of acquisition/disposal (on market/ public/rights /preferential offer/off market/Inter-se transfer, ESOPs etc.)
Type of Security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and %age of Shareholding	From	To		
9	10	11	12	13	14
Shares	Nil	10/11/2015 (Sale)	10/11/2015 (Sale)	13/11/2015	Off market

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

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Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

Trading in Derivatives (Specify type of contract, Futures or options etc.)						Exchange on which the trade was executed
Type of Contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Note: In case of Options, notional value shall be calculated based on premium plus strike price of Options.

Signature : 
 Name : B.M. Jhamb
 Designation : Company Secretary (Compliance Officer)
 Date : 14/11/2015
 Place : Gurgaon

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1	2	3	4	5	6	7	8
Shalini Kapur PAN – AIXPK5439J Rico Auto Industries Limited 38 K.M. Stone, Delhi-Jaipur Highway, Gurgaon – 122001 01242824225	Promoters	Shares	986180 (0.73% of the paid up share capital)	Shares	379968	N.A.	Acquisition by way of gift from Ms. Shikha Kapur

Securities held post acquisition/ disposal		Date of Allotment advice/ acquisition of shares/sale of shares specify		Date of Intimation to Company	Mode of acquisition/disposal (on market/ public/rights /preferential offer/off market/Inter-se transfer, ESOPs etc.)
Type of Security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and %age of Shareholding	From	To		
9	10	11	12	13	14
Shares	1366148 (1.01% of the paid up share capital)	10/11/2015 (Sale)	10/11/2015 (Sale)	13/11/2015	Off market

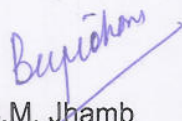
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