

The IL&FS Financial Centre
Plot C-22, G-Block
Bandra Kurla Complex
Bandra East
Mumbai 400 051
India

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Corporate Identification No - L65999MH1986PLC147981

October 25, 2019

The Manager
The Listing Department
National Stock Exchange Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai 400 051

NSE Symbol: IVC
BSE Security Code: 511208
ISIN : INE050B01023

Re : Certificate under Regulation 40(9)

Dear Sir :

Please find enclosed herewith the Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2019

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,



Sanjay Mitra
Company Secretary



Encl: as above



Rozy Empire, Silvassa Road,
Opp.: PWD Rest House, Vapi - 396 191,
Tele Fax: (0260) 2431655 9324545141,
Email : jppvapi13@yahoo.com

202, Prem Kutir CHS Ltd.
Prem Nagar, Near MCF Udyan,
Borivali (W) Mumbai 400091
Tel: (022) 2894 0483, 3067 5215

Date- 14.10.2019

To,
The Board of Directors
IL & FS Investment Managers Limited,
Plot No.C-22, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400 051

Dear Sirs,

Sub: - Certificate under Regulation 40 (9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

we have examined all Share Transfer Deeds, Register of Transfers, Registers, files and other documents relating to **IL & FS Investment Managers Limited ("the Company")** maintained by **Link Intime India Private Limited (Registrar & Share Transfer Agent)** pertaining to transfer of equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per **Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015** entered into by, **IL&FS Investment Managers Limited** with **National Stock Exchange of India Limited and BSE Limited** and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended **30th September, 2019**.

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers have been issued **within fifteen days** of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds. **(As per Annexure "A")**
- B) Share Certificates in respect of requests for issue of transmission certificates have been issued **within 21 days** of lodgment. **(As per annexure "A")**

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

Company Secretaries,

Partner

Ronak Kalathiya

UDIN: A037007A000068709

Encl.: Annexure - 'A'





IL & FS Investment Managers Limited

ANNEXURE - 'A'

DETAILS FOR THE PERIOD 1st April, 2019 to 30th September, 2019

TOTAL NO. OF TRANSFERS DEED RECEIVED.	TOTAL NO. OF TRANSFER FOR WHICH CERTIFICATES WERE DISPATCHED.		
	WITHIN 15 DAYS FROM DATE OF RECEIPT.	AFTER 15 DAYS FROM DATE OF RECEIPT	PENDING AS ON 30.09.2019
25	18	07	0

TOTAL NO. TRANSMISSION REQUEST RECEIVED.	TOTAL NO. OF TRANSMISSION CERTIFICATES DISPATCHED.		
	WITHIN 21 DAYS FROM DATE OF RECEIPT.	AFTER 21 DAYS FROM DATE OF RECEIPT	PENDING AS ON 31.03.2019
04	04	0	0

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

Company Secretaries,

Partner

Ronak Kalathiya

UDIN: A037007A000068709

Date : 14.10.2019

