



PIONEER GROUP

Date : 19<sup>th</sup> October, 2019  
Ref. : NSE/38/2019-2020.

To,  
Mr. Hari K.  
Manager  
National Stock Exchange of India Limited  
"Exchange Plaza", Bandra-Kurla Complex,  
Bandra (East), Mumbai - 400 051.

Dear Sir,

Ref. : Company Code : PIONEEREMB  
Sub. : CERTIFICATE UNDER REGULATION 40(9) OF THE LISTING REGULATIONS  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019

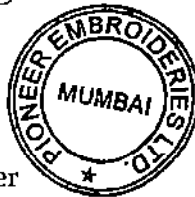
Pursuant to the provision Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate from Sanjay Dholakia & Associates, Practicing Company Secretary for the Half Year Ended 30<sup>th</sup> September, 2019.

We request you to take the same on your records and oblige.

Thanking You,

Yours faithfully,  
For PIONEER EMBROIDERIES LIMITED

*Ami Thakkar*  
(AMI THAKKAR)  
Company Secretary & Compliance Officer  
Membership No.: FCS 9196  
Encl: As Above



## PIONEER EMBROIDERIES LIMITED

.... a stitch ahead of time

Corp. Off. : Unit No. 21 to 25, 2nd Floor, Orient House, 3-A Udyog Nagar, Off S. V. Road, Goregaon (West), Mumbai - 400 062  
Tel. : 022-4223 2323 • Fax : 022-4223 2313 • Email : mumbai@pelhakoba.com • Website : www.pelhakoba.com

Regd. Off.: 101-B, Abhishek Premises, Plot No. C 5-6, Dalia Industrial Estate, Off New Link Rd., Andheri (W), Mumbai - 400 058  
CIN : L17291MH1991PLC063752

<sup>®</sup>hakoba

Embroidery beyond your imagination



# **SANJAY DHOLAKIA & ASSOCIATES**

BCOM LLB FCS

## **COMPANY SECRETARIES**

GP 15, 2nd Floor, Raghuleela Mall, Behind Painsur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

### **CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

#### **FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2019**

The Securities and Exchange Board of India vide gazette notification dated 08<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Pioneer Embroideries Limited a Company registered under Companies Act, 1956 having its registered office situated at Unit 101b, 1<sup>st</sup> Floor, Abhishek Premises, Plot No.C5-6 Dalia Ind. Est Off. New Link Road, Andheri (W) Mumbai- 400058, as maintained by the Company's Registrar and Transfer Agents, M/s. Link Intime India Private Limited having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai-400083 pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September, 2019, for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015.

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgement for transfer from respective date of lodgement which were lodged prior to deadline and returned due to deficiency in the document and were re-lodged for transfer after the deadline of 1<sup>st</sup> April, 2019. There was one request for deletion of name during the period. There were no requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during this period.



For **SANJAY DHOLAKIA & ASSOCIATES**

*Dholakia Sanjay*

(**SANJAY DHOLAKIA**)

Practising Company Secretary  
Proprietor

Date: 22<sup>nd</sup> October, 2019  
Place: Mumbai

UDIN : L17291MH1991PLC063752