

यूको बैंक

सम्मान आपके विश्वास का



UCO BANK

Honours Your Trust

HO/Finance/Share/26/2020-21

Date: 23.04.2021

**National Stock Exchange of India Ltd.**

"Exchange Plaza"

Plot no. C/1, G Block

Bandra-Kurla Complex, Bandra (E)

Mumbai – 400 051

**NSE Scrip Symbol: UCOBANK**

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street, Fort,

Mumbai – 400 001

**BSE Scrip Code: 532505**

Madam/Dear Sir,

**SUB: Certification under Regulation 40(9) of SEBI (LODR) Regulations, 2015.**

We submit herewith the Certificate issued by M/s. Manish Ghia & Associates, Company Secretaries for the half year ended 31.03.2021. This information is submitted pursuant to Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Kindly take the same on records.

Yours faithfully,

(N Purna Chandra Rao)  
Company Secretary



**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**  
**FOR THE HALF YEAR ENDED 31ST MARCH, 2021**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **UCO Bank** having its registered office at 10, BTM Sarani, Kolkata, West Bengal - 700001 maintained by **M/s KFin Technologies Private Limited** (formerly known as M/s Karvy Fintech Private Limited), the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **UCO Bank** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2021** the Company:

- a) has issued the Duplicate Share Certificate(s) in lieu of original lost/misplaced, relating to request(s) received during the said half year (from 1st October, 2020 to 31st March, 2021) within thirty days from the respective date(s) of receipt of such request(s) except those rejected on technical grounds; and
- b) was not required to deliver any share certificate(s) as it has not received any request(s) for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except those rejected on technical grounds.

For Manish Ghia & Associates  
Company Secretaries  
(Unique ID: P2006MH007100)



Place : Mumbai  
Date : April 23, 2021  
UDIN : F006252C000162573

CS Mannish L. Ghia  
Partner  
M. No. FCS 6252 C.P. No. 3531  
PR 822/2020

**Note :** We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.