



April 9, 2021

Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

✓ **Capital Markets-Listing**
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 9, 2021, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Half-Year ended March 31, 2021.

This is for your information and records.

Thanking you,

Yours faithfully,
For **Prime Securities Limited**



Ajay Shah
Company Secretary
(ACS-14359)

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

FOR THE YEAR ENDED MARCH 31, 2021

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31st March, 2021**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01st October, 2020 to 31st March, 2021** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01st October, 2020 to 31st March, 2021** and the certificates for the same has been issued within thirty days from respective date of lodgment.

PRAMOD SHANTILA
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Digitally signed
by PRAMOD
SHANTILA SHAH
Date: 2021.04.09
18:53:42 +05'30'

For Pramod S. Shah & Associates

Pramod S. Shah - Partner

Membership No.: 334 (FCS)

C. P. No.: 3804

UDIN: F000334C000038878

Date: April 9, 2021

Place: Mumbai