



October 11, 2019

Corporate Relationship Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

Capital Markets-Listing
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated October 11, 2019, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Half-Year ended September 30, 2019.

This is for your information and records.

Thanking you,

Yours faithfully,
For **Prime Securities Limited**

Ajay Shah
Company Secretary
(ACS-14359)

Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.
Tel. : 91-22-2271 7700 / 91-22-2270 1040 • Email : saurabhshah@psaprofessionals.com • Website : cspssa.co.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **30th September, 2019**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01st April, 2019 to 30th September, 2019** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has not received any request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01st April, 2019 to 30th September, 2019** and the certificates for the same has been issued within thirty days from respective date of lodgment.



Date: 11th October, 2019
Place: Mumbai

For Pramod S. Shah & Associates
Pramod S. Shah - Partner
Membership No. 334 (FCS)
C. P. No. : 3804