



Date: May 17, 2018

National Stock Exchange of India Limited

Exchange Plaza, 5th Floor

Plot No C/1, G Block, Bandra Kurla Complex

Bandra(E), Mumbai - 400051

Scrip Code: DICIND

Re: Regulation 30 SEBI (LODR) 2015

Sub: Announcement under Regulation 30 (LODR)-Resignation of Company Secretary / Compliance Officer dated on 05/05/2018.

Dear Sir,

With reference to Announcement under Regulation 30 (LODR)-Resignation of Company Secretary / Compliance Officer submitted on 05/05/2018, Please find enclosed herewith the Brief Profile of Mr. Raghav Shukla, who has been appointed as Company Secretary and Compliance Officer of the Company w.e.f. 05.05.2018.

You are requested to take the same on record.

Thanking You,

Yours Truly,

For DIC India Limited

A handwritten signature in blue ink, appearing to read 'Raghav Shukla'.

Raghav Shukla

General Manager- Legal & Company Secretary

M. No.: F5252

DIC INDIA LIMITED

C-55, A&B, NOIDA – 201305 (UP)

Telephone : (0120) 4868500 Fax: (0120) 4868545

CIN No. L24223WB1947PLC015202 Website: dicindia ltd.co

Registered office: Transport Depot Road, Kolkata – 700 088

Brief Profile

Name : Raghav Shukla
Qualification : FCS (F-5252), LL.B. (Delhi University)
Present Company : DIC India Ltd.
Position : General Manager (Legal) & Company Secretary

Career overview:

- Assignments under Corporate Laws, Mergers & Acquisitions, Litigation, Compliance, Governance and range of legal functions at Corporate/Group level in various reputed organisations. Extensive experience of working with/for Corporates located in different geographies all over the world.
- Experience span: 21 years.

Profile:

- Exposure in Chemicals, Telecommunication, Packaging, Healthcare, and Capital Goods.
- Exposure of Mergers & Acquisitions, Corporate Governance, Capital Market actions, Compliance, Litigation, Agreements, IPR etc.

Key areas of expertise:

Sr. No.	Area of Exposure	Experience
1.	Board, Committee and shareholders meetings	- Board meetings, Committee meetings, shareholders meeting, court monitored meetings - Compliance under listing regulations in a large listed entity having multiple subsidiaries. - Interaction and secretarial advisory to high pedigree Board members/CEOs in the capacity of Company Secretary/General Counsel. - Agenda, Notice, Minutes, MCA compliance, Stock exchange compliance, ESOP management, Dividend distribution, Postal ballot, Compliance related to Insider trading, related party transaction. Finalisation of Annual report, secretarial, internal and statutory audit.
2.	Corporate actions under Companies Act.	- IPO, preferential issue, ESOPs , Postal Ballot, etc.
3.	Mergers & Acquisitions	- Merger, Demerger, stake sale, slump sale, private equity participation, takeover : - Interaction with Regulators viz. CCI, SEBI, RBI etc. in connection with M & A.
4.	Ethics & Compliance	- Awareness training for Code of Conduct, FCPA, UK Anti -Bribery, anti-trust, Policies on prevention of Sexual harassment & Insider training etc. - Overseeing E-learning.
5.	Agreements	- Distributor and Commission agreements, Share purchase agreement , Share transfer agreement, Master service agreement, Service Level Agreements, Technology transfer agreement
6.	Internal Governance, risk and Compliance	- Creating and implementing statutory and internal policies. - Checklist for screening and appointment of a large pool of Distributors. - Managing pan organisation Compliance through Compliance software and presenting quarterly reports to the Audit Committee.

Previous Associations:

- **Micromax Informatics Limited: -General Manager-Legal & Company Secretary**

Duration	February, 2015 till June, 2016 (1.5 Year)
Designation	General Manager-Legal & Company Secretary
Assignment	• Head of entire Legal and Secretarial Functions. Handled big ticket Litigation in telecom space- Global patent enforcement litigation. Experience of briefing Senior counsels of High Court & Supreme Court in India.

- **Max India Ltd- Sr. Manager- Secretarial & Legal.**

Duration	November 2011 till January, 2015 (3.3 Years)
Designation	Sr. Manager-Secretarial Max India Ltd.
Assignment	Key assignments in Secretarial and Legal functions in the Company and its subsidiaries viz.; • Secretarial functions related to Board, Committee and shareholders meetings. • Listing Compliances, Annual report, ESOP management, Postal Ballot, Postal ballot etc. • Mergers and acquisitions support • Litigation support to Group Company.

- **PCI Limited: -Assistant General Manager-Legal & Company Secretary**

Duration	August 1998 till October, 2011 (13 Years)
Designation	Assistant General Manager-Legal and Company Secretary.
Assignment	Key assignments in Secretarial and Legal functions in the Company and its subsidiaries viz. ; • Transaction related to hiving off and subsidiarisation of division into a separate subsidiary Company and stake sale in the Company to OEM, in tranches. • Demerger through court process. • IPO related activities.