

Date: 25.06.2026

To,
Listing Department,
National stock Exchange of India Limited,
Exchange Plaza, 5th Floor
Plot No. C/1, G Block,
Bandra Kurla Complex, Bandra (East)
Mumbai 400 051

Symbol: **NEOCHEM**, ISIN: **INE21UM01018**

Dear Sir/Madam,

Sub.: SDD compliance certificate under Regulation 3(5) and 3(6) of SEBI (PIT) Regulations, 2015 for the financial year ended 31st March, 2026 and also for the as on date i.e. 25th June, 2026.

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, and in response to the observations raised by the Exchange regarding the Structured Digital Database ("SDD") Compliance Certificate, we hereby submit the enclosed SDD Compliance Certificate certifying compliance with the requirements of Regulation 3(5) and 3(6) for the financial year ended 31st March, 2026 as well as confirming the status of compliance as on 25th June, 2026.

Further, The Company was listed on the NSE SME Platform on **9th December, 2025** and, accordingly, the provisions of Regulation 3(5) and 3(6) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 became applicable to the Company from the date of listing. Therefore, the enclosed certificate covers the applicable period up to **31st March, 2026** and also confirms compliance status as on **25th June, 2026**.

We request you to kindly take the same on record and review the Company's compliance status accordingly.

Thanking You,

Yours Faithfully

For NEOCHEM BIO SOLUTIONS LIMITED
(Formerly known as Neochem Bio Solutions Private Limited and Neochem Technologies Private Limited)

SWAPNIL RAMESHBHAI MAKATI
Managing Director
DIN: 00188382

Encl. As below

NEOCHEM BIO SOLUTIONS LIMITED

(Formerly Know as Neochem Technologies Private Limited)

303, W1, Opp. Vikramnagar Colony Off. Iskon-Ambli Road
Ahmedabad - 380 058 Gujarat, INDIA. | +91-79-3521 7792
admin@neochem.in | www.neochem.in

CIN No.: L24304GJ2017PLC097754 | GSTIN : 24AAFNCN6825L1Z6





M. K. CHOKSHI & ASSOCIATES
Practicing Company Secretaries

C/8, C/13, Hira Anand Tower, Gordhanwadi Cross Road,
Kankaria BRTS Road, Ahmedabad-380 028.
Mob. +91 7878735447 | E-mail: cs.mkchokshi@gmail.com

**COMPLIANCE CERTIFICATE FOR THE FINANCIAL YEAR ENDED AS ON
31ST MARCH 2026 AND ALSO AS ON 25TH JUNE, 2026
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)
Regulations, 2015)**

I, CS Mansi Kaival Chokshi Proprietor of M K Chokshi & Associates, Practicing Company Secretary appointed by NEOCHEM BIO SOLUTIONS LIMITED (CIN No: L24304GJ2017PLC097754), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

SR. No	Compliance Requirement	Yes/No	Observation/Remark
1.	The Company has a Structured Digital Database in place	Yes	----
2.	Control exists as to who can access the SDD	Yes	----
3.	All the UPSI disseminated in the previous quarter have been captured in the Database	Yes	-----
4.	The system has captured nature of UPSI along with date and time	Yes	----
5.	The database has been maintained internally and an audit trail is maintained	Yes	-----
6.	The database is non-tamperable and has the capability to maintain the records for 8 years.	Yes	----

(Relevant points from 1 to 6 can be striked off in the case of non-compliance)

I also confirm that the Company was required to capture 04 number of events during the year ended 31st March, 2026 and as on 25th June, 2026 and has captured 04 number of the said required event.

I would like to report that there is no non-compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard.

FOR M.K. Chokshi & Associates
For, **M K CHOKSHI & ASSOCIATES**


PROPRIETOR



CS Mansi Kaival Chokshi
Practicing Company Secretary
M. No.: ACS – A42662, CP No. 19645
PR No.: 6601/2025
UDIN: A042662H000684151

Date: 25th June, 2026
Place: Ahmedabad