NAVA BHARAT

# NAVA BHARAT VENTURES LIMITED

NAVA BHARAT CHAMBERS, RAJ BHAVAN ROAD, HYDERABAD-500 082, A.P., INDIA

NBV/SECTL/44/2012-13
April 5, 2012

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No.C/1, G Block
Bandra Kurla Complex, Bandra (E)
MUMBAI – 400 051.

Dear Sirs,

Sub: Disclosures from Wellington Management Company, LLP under Regulation 13(3) and (6) of SEBI (Prohibition of Insider Trading), Regulations, 1992 and Regulation 29(1) of SEBI (SAST) Regulations, 2011.

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We forward herewith the disclosures received from Wellington Management Company, LLP under the above Regulations of SEBI, for your kind information and records, please.

Thanking you,

Yours faithfully,
For NAVA BHARAT VENTURES LIMITED

For Company Secretary
& Vice President

Encl: as above

Telephone : (040) 23402064, 23403501, 23403540 Fax : (040) 23403013
E-mail : nbvl@nbv.in nbvl@sify.com Website : www.nbventures.com

ISO 9001 ISO 14001

WELLINGTON MANAGEMENT

Wellington Management Company, LLP

280 Congress Street
Boston
Massachusetts 02210
USA

Telephone: (617) 951-5000

## FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992

(Regulation 13 (3) and (6)

**Regulation 13(3) – Details of change in shareholding in respect of persons holding more than 5% shares in a listed company**

| Name & address of sharcholders | Shareholding prior to acquisition/sale | No. & % of shares/ voting rights acquired/sold | Receipt of allotment advice/acquisition of shares/sale of shares – specify | Date of intimation to company | Mode of acquisition on (market purchase/public/rights/preferential offer etc.) | No. & % of shares/voting rights post-acquisition/sale | Trading member through whom the trade was executed with SEBI Registration no. of the TM | Exchange on which the trade was executed | Buy quantity | Buy value | Sell quantity | Sell value |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Wellington Management Company, LLP<br><br>280 Congress Street, Boston, MA. 02210<br><br>(617) 790-7265 | Amount, %<br><br>5,250,838<br><br>5.88% | Amount, %<br><br>1,401,023<br><br>1.57% | Acquisition of Shares<br><br>30.03.12 | 02-04-2012 | Open Market | 6,651,861<br><br>7.45% | Macquari Capital Securities (India) Private Limited<br><br>NSE<br><br>INB2312<br><br>46738 | National Stock Exchange of India Limited (NSE) | 1,401,023 | 210 | 0<br><br>Shares | 0<br><br>Per share |

Wellington Management Company, LLP

Name: John D. Norberg
Title: Vice President and Counsel

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

## Annexure-A

**Format for Disclosures under Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

| | | | |
|---|---|---|---|
| 1. Name of the Target Company (TC) | Nava Bharat Ventures Limited | | |
| 2. Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer | Wellington Management Company, LLP and please see Exhibit A (attached). | | |
| 3. Whether the acquirer belongs to Promoter/Promoter group | N/A | | |
| 4. Name(s) of the Stock Exchange(s) where the shares of TC are Listed | National Stock Exchange of India, Ltd<br>Bombay Stock Exchange, Ltd. | | |
| 5. Details of the acquisition of shares/voting rights/holding of the Acquirer and PAC | Number | % w.r.t.total share/voting capital wherever applicable | % w.r.t. total diluted share/voting capital of the TC (*) |
| Before the acquisition under consideration, holding of :<br>a) Shares carrying voting rights<br>b) Voting rights (VR) otherwise than by equity shares<br>c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights In the TC (specify holding in each category) | 5,250,838 | 5.88% | 5.88% |
| Total (a+b+c) | 5,250,838 | 5.88% | 5.88% |

| | | | |
|---|---|---|---|
| Details of acquisition<br>a) Shares carrying voting rights acquired<br>b) VRs acquired otherwise than by equity shares<br>c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired | 1,401,023 | 1.57% | 1.57% |
| Total (a+b+c) | 1,401,023 | 1.57% | 1.57% |
| After the acquisition, holding of:<br>a) Shares carrying voting rights<br>b) VRs otherwise than by equity shares<br>c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition | 6,651,861 | 7.45% | 7.45% |
| Total (a+b+c) | 6,651,861 | 7.45% | 7.45% |
| 6. Mode of acquisition (e.g. open market / public issue / rights issue /preferential allotment / inter-se transfer, etc.) | Open Market | | |
| 7. Date of acquisition of/ date of receipt of intimation of allotment of shares /VR/ warrants/convertible securities/any other | 30.03.2012 | | |

| | |
|---|---|
| instrument that entitles the acquirer to receive shares in the TC. | |
| 8. Equity share capital / total voting capital of the TC before the said acquisition | 89,287,738 |
| 9. Equity share capital/ total voting capital of the TC after the said acquisition | 89,287,738 |
| 10. Total diluted share/voting capital of the TC after the said acquisition | 89,287,738 |

**Note:**

(*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

Signature of the acquirer / Authorised Signatory

Place: Wellington Management Company, LLP Boston, MA.

Date: 04, April 2012

## Exhibit A

| Account Name | Shares | Client ID / NSDL | Depository ID |
|---|---|---|---|
| Advanced Series Trust | 75,674 | 10097467 | IN300167 |
| Wellington Management Portfolios (Australia) - Global Contrarian Equity Portfolio | 298,063 | 10044256 | IN300054 |
| Bay Pond Partners, L.P. | 811,601 | 10646110 | IN300142 |
| Bay Pond Investors (Bermuda) L.P. | 393,638 | 10646081 | IN300142 |
| The Hartford Emerging Markets Research Fund | 27,256 | 10100755 | IN300167 |
| J. Caird Investors (Bermuda) L.P. | 1,738,526 | 30021590 | IN301524 |
| J. Caird Partners, L.P. | 1,562,330 | 30021583 | IN301524 |
| Core Allocation Plus Trust | 77,762 | 10636581 | IN300142 |
| Retail Employees Superannuation Trust | 848,915 | 10006361 | IN303438 |
| Wellington Trust Company, National Association Multiple Collective Investment Funds Trust II, Unconstrained Themes | 174,896 | 10039165 | IN300167 |
| Wellington Trust Company, National Association Multiple Collective Investment Funds Trust, Global Contrarian Equity Portfolio | 87,917 | 10040277 | IN300167 |
| Wellington Trust Company, National Association Multiple Collective Investment Funds Trust II, Global Equities Portfolio | 19,573 | 10079698 | IN300167 |
| Wellington Trust Company, National Association Multiple Common Trust Funds Trust, Diversified Alpha Strategies - Absolute Return Portfolio | 281,078 | 10038385 | IN300167 |
| Wellington Trust Company, National Association Multiple Common Trust Funds Trust, Global Contrarian Equity Portfolio | 254,632 | 10038730 | IN300167 |
| TOTAL: | 6,651,861 | | |