

October 12, 2020

Listing Department  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001

Listing Department  
**National Stock Exchange of India Ltd.**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East)  
Mumbai – 400051

**Scrip Code: 539940**

**Scrip Code: MAXVIL**

**Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sirs,

Please find enclosed Certificate dated October 12, 2020 of T. Sharad & Associates, Company Secretaries under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take note of the above.

Thanking you,

Yours faithfully

For **Max Ventures and Industries Limited**



**Saket Gupta**  
**Company Secretary & Compliance Officer**

Encl. as above

**T. SHARAD & ASSOCIATES**  
**Company Secretaries**

E-24, IInd Floor,  
Greater Kailash Enclave -I,  
New Delhi-110048.  
Phone: 9871494445, 9810016067  
[styagi1978@yahoo.co.in](mailto:styagi1978@yahoo.co.in)



**Certificate Under Regulation 40 (9) Of The SEBI (LODR) Regulations For The Half Year Ended  
September 30, 2020**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Max Ventures and Industries Limited** maintained by **MAS Services Limited**, Registrar and Share Transfer Agent pertaining to transfer of equity shares of the Company for the period from **April 01, 2020 to September 30, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015 and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on **September 30, 2020**;

- A) No Share Transfer form(s) request were received during the period from **April 01, 2020 to September 30, 2020**
- B) Share Certificate(s) in respect of requests relating to transmission/sub- division (split)/renewal and consolidation received during the period from **April 01, 2020 to September 30, 2020** within 30 days from the respective date of lodgment of each deed excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to exchange or endorsement of calls/ allotment monies received during the said period.

The above certificate has been issued based on the records shown and representations made by the Company.

**For T. Sharad & Associates**  
**Company Secretaries**

**(F.C.S. Sharad Tyagi)**  
**Membership No. FCS 5975**  
**Place: New Delhi**

**Date: Monday, October 12, 2020**

**T. Sharad & Associates**

**Max Ventures and Industries Limited**