



# MANAPPURAM<sup>®</sup>

## FINANCE LIMITED

Make Life Easy

Ref: Sec/SE/97/2023-24  
31 May 2023

|                                                                                                                                      |                                                                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>BSE Limited</b><br><b>Phiroze Jeejeebhoy Towers</b><br><b>Dalal Street</b><br><b>Mumbai- 400 001</b><br><b>Scrip Code: 531213</b> | <b>National Stock Exchange of India Limited</b><br><b>5th Floor, Exchange Plaza</b><br><b>Bandra (East)</b><br><b>Mumbai – 400051</b><br><b>Scrip Code: MANAPPURAM</b> |
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Dear Sir/Madam,

We are attaching herewith report for the violations related to the Code of Conduct under Regulation 9 (1) of SEBI (PIT) Regulations, 2015, in the format prescribed by SEBI

Request you to kindly take the same on your record.

Thanking you.

Yours Faithfully,

**For Manappuram Finance Limited**

**Manoj Kumar V.R**  
**Company Secretary**

**India's First Listed and Highest Credit Rated Gold Loan Company**

Registered & Corporate Office : (CIN-L65910KL1992PLC006623) IV/470A (old) W638A(New), Manappuram House, Valapad, Thrissur, Kerala - 680 567, India  
Tel : 0487 - 3050100, 3050108 Fax : 0487 - 2399298 E mail : mail@manappuram.com Website : www.manappuram.com



|                                                                                                                                                                                    |                                                                                                                                                                                                                           |                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Report by (Name of the Listed Company/ <del>Intermediary/Fiduciary</del> ) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 |                                                                                                                                                                                                                           |                                                                                                            |
| Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015                                                                                   |                                                                                                                                                                                                                           |                                                                                                            |
| Sr No                                                                                                                                                                              | Particulars                                                                                                                                                                                                               | Details                                                                                                    |
| 1                                                                                                                                                                                  | Name of the Listed Company/<br><del>Intermediary/Fiduciary</del>                                                                                                                                                          | Manappuram Finance Limited                                                                                 |
| 2                                                                                                                                                                                  | Please tick appropriate checkbox<br>Reporting in capacity of :<br><input checked="" type="checkbox"/> Listed Company<br><input type="checkbox"/> <del>Intermediary</del><br><input type="checkbox"/> <del>Fiduciary</del> | Listed Company                                                                                             |
| 3                                                                                                                                                                                  | <b>A. Details of Designated Person (DP)</b>                                                                                                                                                                               |                                                                                                            |
|                                                                                                                                                                                    | i Name of the DP                                                                                                                                                                                                          | Mr. Nikhileah Asthana                                                                                      |
|                                                                                                                                                                                    | ii PAN of the DP                                                                                                                                                                                                          | BDNPA3484P                                                                                                 |
|                                                                                                                                                                                    | iii Designation of DP                                                                                                                                                                                                     | ASST.GEN,MGR                                                                                               |
|                                                                                                                                                                                    | iv Functional Role of DP                                                                                                                                                                                                  | Traders Micro Credit,<br>Manappuram Finance Limited                                                        |
|                                                                                                                                                                                    | v Whether DP is Promoter or belongs to Promoter Group                                                                                                                                                                     | No                                                                                                         |
|                                                                                                                                                                                    | <b>B. If Reporting is for immediate relative of DP</b>                                                                                                                                                                    | No                                                                                                         |
|                                                                                                                                                                                    | i. Name of the immediate relative of DP                                                                                                                                                                                   |                                                                                                            |
|                                                                                                                                                                                    | ii. PAN of the immediate relative of DP                                                                                                                                                                                   |                                                                                                            |
|                                                                                                                                                                                    | <b>C. Details of transaction(s)</b>                                                                                                                                                                                       |                                                                                                            |
|                                                                                                                                                                                    | i. Name of the scrip                                                                                                                                                                                                      | Manappuram Finance Limited                                                                                 |
|                                                                                                                                                                                    | ii. No of shares traded and value (Rs.) (Date-wise)                                                                                                                                                                       | Bought 04 Shares @ 117.50<br>per Share on 09.05.2023<br>Sold 04 Shares @ 113.40 per<br>share on 17.05.2023 |
| 4                                                                                                                                                                                  | <b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>                                                                                                                                         | NA                                                                                                         |
|                                                                                                                                                                                    | i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015                                                                          | NA                                                                                                         |
|                                                                                                                                                                                    | ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015                                                                                                       | NA                                                                                                         |
| 4                                                                                                                                                                                  | Details of violations observed under Code of Conduct                                                                                                                                                                      | Contra Trade during Window Closure Period                                                                  |
| 5                                                                                                                                                                                  | Action taken by Listed company/ Intermediary/ Fiduciary                                                                                                                                                                   | Issued warning letter as per the code under regulation 9 of                                                |



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|--|---|------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |   |                                                                                    | SEBI(PIT) Regulations,2015                                                                                                                               |
|  | 6 | Reasons recorded in writing for taking action stated above                         | Since the insider violated the code of conduct, he is punishable for Violations provided under the provisions of Insider Code of Conduct of the Company. |
|  | 7 | Details of the previous instances of violations, if any, since last financial year | First Time Violation                                                                                                                                     |
|  | 8 | If any amount collected for Code of Conduct violation(s)                           | No                                                                                                                                                       |
|  |   | i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)                           | N/A                                                                                                                                                      |
|  |   | ii. Details of transfer/payment                                                    | N/A                                                                                                                                                      |
|  |   |                                                                                    |                                                                                                                                                          |
|  |   | <b><u>In case of online:</u></b>                                                   |                                                                                                                                                          |
|  |   | Name of the transferor                                                             |                                                                                                                                                          |
|  |   | Bank Name, branch and Account number                                               |                                                                                                                                                          |
|  |   | UTR/Transaction reference Number                                                   |                                                                                                                                                          |
|  |   | Transaction date                                                                   |                                                                                                                                                          |
|  |   | Transaction Amount (in Rs.)                                                        |                                                                                                                                                          |
|  |   | <b><u>In case of Demand Draft (DD)</u></b>                                         |                                                                                                                                                          |
|  |   | Bank Name and branch                                                               |                                                                                                                                                          |
|  |   | DD Number                                                                          | NA                                                                                                                                                       |
|  |   | DD date                                                                            |                                                                                                                                                          |
|  |   | DD amount (in Rs.)                                                                 |                                                                                                                                                          |
|  | 9 | Any other relevant information                                                     | No                                                                                                                                                       |
|  |   |                                                                                    |                                                                                                                                                          |

**Date** : 31.05.2023  
**Place** : Valapad  
**Name of Compliance Officer** : Manoj Kumar V.R.

**Signature of Compliance Officer** :

**PAN** : AIHPM3138Q  
**Email ID** : cosecretary@manappuram.com

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