



MANAPPURAM[®] FINANCE LIMITED

Make Life Easy

Ref: Sec/SE/34/2023-24
28 April 2023

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai- 400 001 Scrip Code: 531213	National Stock Exchange of India Limited 5th Floor, Exchange Plaza Bandra (East) Mumbai – 400051 Scrip Code: MANAPPURAM
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Dear Sir/Madam,

We are attaching herewith report for the violations related to the Code of Conduct under Regulation 9 (1) of SEBI (PIT) Regulations, 2015, in the format prescribed by SEBI

Request you to kindly take the same on your record.

Thanking you.

Yours Faithfully,

For Manappuram Finance Limited

Manoj Kumar V.R
Company Secretary

India's First Listed and Highest Credit Rated Gold Loan Company

Registered & Corporate Office : (CIN-L65910KL1992PLC006623) IV/470A (old) W638A(New), Manappuram House, Valapad, Thrissur, Kerala - 680 567, India
Tel : 0487 - 3050100, 3050108 Fax : 0487 - 2399298 E mail : mail@manappuram.com Website : www.manappuram.com



Report by (Name of the Listed Company/ Intermediary/Fiduciary) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015		
Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015		
Sr No	Particulars	Details
1	Name of the Listed Company/ Intermediary/Fiduciary	Manappuram Finance Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i Name of the DP	Mr. Hareesh Kumar P V
	ii PAN of the DP	AFDPH6694H
	iii Designation of DP	DY.GEN.MGR
	iv Functional Role of DP	Loan To Consumer Durables, Manappuram Finance Limited
	v Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	No
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	Manappuram Finance Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	Sold 300 Shares @ 126.40 per Share on 10.04.2023
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	NA
i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
4	Details of violations observed under Code of Conduct	Sale of share during Window Closure Period
5	Action taken by Listed company/ Intermediary/ Fiduciary	Issued warning letter as per the code under regulation 9 of SEBI(PIT) Regulations,2015 and Rs. 1000 Penalty remitted to SEBI-IPEF



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6	Reasons recorded in writing for taking action stated above	Since the insider violated the code of conduct, he is punishable for Violations provided under the provisions of Insider Code of Conduct of the Company.
7	Details of the previous instances of violations, if any, since last financial year	First Time Violation
8	If any amount collected for Code of Conduct violation(s)	Yes
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online
	ii. Details of transfer/payment	Google Pay
	<u>In case of online:</u>	
	Name of the transferor	Hareesh Kumar P V
	Bank Name, branch and Account number	Canara Bank, Valapad Branch, 45612200000900
	UTR/Transaction reference Number	348472047625
	Transaction date	28.04.2023
	Transaction Amount (in Rs.)	Rs. 1000/-
	<u>In case of Demand Draft (DD)</u>	
	Bank Name and branch	
	DD Number	NA
	DD date	
	DD amount (in Rs.)	
9	Any other relevant information	No

Date : 28.04.2023
Place : Valapad
Name of Compliance Officer : Manoj Kumar V.R.

Signature of Compliance Officer :

PAN : AIHPM3138Q
Email ID : cosecretary@manappuram.com

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