



MANAPPURAM FINANCE LIMITED

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Ref: Sec/SE/43/2022-23
11th May 2022

BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai- 400 001 Scrip Code: 531213	National Stock Exchange of India Limited 5th Floor, Exchange Plaza Bandra (East) Mumbai – 400051 Scrip Code: MANAPPURAM
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Dear Sir/Madam,

We are attaching herewith report for the violations related to the Code of Conduct under Regulation 9 (1) of SEBI (PIT) Regulations, 2015, in the format prescribed by SEBI

Request you to kindly take the same on your record.

Thanking you.

Yours Faithfully,

For Manappuram Finance Limited

**MANOJK
UMAR V R**

Manoj Kumar V.R
Company Secretary

Digitally signed by MANOJKUMAR V R
DN: c=IN, o=Personal, title=3934,
pseudonym=3f/d8785d77b57dd41384d01ac
e5999cb26a0c22b7f534025540d0bf6c22d7,
postalCode=680581, st=Kerala,
serialNumber=ec0b0762d06a85987aa84d48d
23767b1e13f03d66989437cbe348bc1a592ccb
7, cn=MANOJKUMAR V R
Date: 2022.05.11 15:09:23 +05'30'

India's First Listed and Highest Credit Rated Gold Loan Company

Registered & Corporate Office : (CIN-L65910KL1992PLC006623) IV/470A (old) W638A(New), Manappuram House, Valapad, Thrissur, Kerala - 680 567, India
Tel : 0487 - 3050100, 3050108 Fax : 0487 - 2399298 E mail : mail@manappuram.com Website : www.manappuram.com



Report by (Name of the Listed Company/ Intermediary/Fiduciary) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015		
Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015		
Sr No	Particulars	Details
1	Name of the Listed Company/ Intermediary/Fiduciary	Manappuram Finance Limited
2	Please tick appropriate checkbox Reporting in capacity of : ☒ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i Name of the DP	Mr.T Balakrishnan
	ii PAN of the DP	ACSPT2762Q
	iii Designation of DP	Board of Director of Subsidiary
	iv Functional Role of DP	Board of Director of Subsidiary
	v Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	No
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	Manappuram Finance Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	Bought 1300 shares @ 113.92 per Share on 02/05/2022
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	NA
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
4	Details of violations observed under Code of Conduct	Bought 1300 Shares of the Company during the window closure period.



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5	Action taken by Listed company/ Intermediary/ Fiduciary	Levied a penalty of Rs. 5000/- and remitted the same with SEBI Investor Protection and Education Fund.
6	Reasons recorded in writing for taking action stated above	Since the insider violated the code of conduct, he is punishable for Violations provided under the provisions of Insider Code of Conduct of the Company.
7	Details of the previous instances of violations, if any, since last financial year	First Time Violation
8	If any amount collected for Code of Conduct violation(s)	YES
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	
	ii. Details of transfer/payment	
	<u>In case of online:</u>	
	Name of the transferor	T BALAKRISHNAN
	Bank Name, branch and Account number	HDFC, Vazhuthacaud and 00631000009026
	UTR/Transaction reference Number	N131221954424761
	Transaction date	11.05.2022
	Transaction Amount (in Rs.)	5000(FIVE THOUSAND ONLY)
	<u>In case of Demand Draft (DD)</u>	
	Bank Name and branch	
	DD Number	
9	Any other relevant information	No

Date : 11.05.2022
Place : Valapad
Name of Compliance Officer : Manoj Kumar V.R.

Signature of Compliance Officer : MANOJKU
MAR V R
PAN : AIHPM3138Q
Email ID : cosecretary@manappuram.com

Digitally signed by MANOJKUMAR V R
DN: cn=Manoj Kumar V R, o=Manappuram Finance Limited, email=manojkumar.vr@manappuram.com, postalCode=680581, st=Kerala, serialNumber=ec05070209085397a0b4a8d23761b1c1382669994706348bc1a592cc87, c=MANOJKUMAR V R
Date: 2022.05.11 15:10:24 +05'30'

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