

**Reliance Capital Limited**

Registered Office:

Kamala Mills Compound

Trade World, B Wing, 7<sup>th</sup> Floor

S. B. Marg, Lower Parel

Mumbai - 400 013

Tel. : +91 022 4158 4000

Fax : +91 022 2490 5125

E-mail : rcl.investor@relianceada.com

Website : www.reliancecapital.co.in

CIN : L65910MH1986PLC165645

April 24, 2023

**BSE Limited**

Phiroze Jeejeebhoy Towers,

Dalal Street, Fort,

Mumbai 400 001

**BSE Scrip Code: 500111****National Stock Exchange of India Limited**Exchange Plaza, 5<sup>th</sup> Floor,

Plot No. C/1, G Block, Bandra Kurla Complex,

Bandra (East), Mumbai 400 051

**NSE Scrip Symbol: RELCAPITAL**

Dear Sir(s),

**Sub.: Certificate under Regulation 40(9) of the Securities and Exchange Board of India  
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

In terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a certificate for the financial year ended March 31, 2023 duly signed by a Practicing Company Secretary.

Thanking you.

Yours faithfully,

For **Reliance Capital Limited****Atul Tandon****Company Secretary & Compliance Officer**

Encl.: As Above.

cc:

**National Securities Depository Limited**

Trade World, A Wing,

4<sup>th</sup> & 5<sup>th</sup> Floors, Kamala Mills Compound,

Lower Parel, Mumbai 400 013

**Central Depository Services (India) Limited**

Unit No. A-2501, A Wing, Marathon Futurex,

25<sup>th</sup> Floor, Mafatlal Mill Compounds,

N M Joshi Marg, Lower Parel (E), Mumbai 400 013



# AASHISH K. BHATT & ASSOCIATES

## Practicing Company Secretaries

**Aashish K. Bhatt**

B.Com., A.C.S., PGDSL

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Reliance Capital Limited** (hereinafter referred to as "the Company") maintained by its Registrar and Transfer Agent – KFin Technologies Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the Company for the period from April 1, 2022 to March 31, 2023, for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Company and its RTA, I hereby certify that the Company has delivered during the financial year ended on March 31, 2023:

- A) Share Certificates relating to the Share Transfer Deeds received during the period from April 1, 2022 to March 31, 2023 as entered in the Memorandum of Transfers have been issued within thirty days from respective date of lodgement of each deed except those rejected on technical grounds.
- B) All Certificates in respect of valid and complete requests for sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies and issue of duplicate and split certificates have been issued within thirty days from the date of their lodgement.

**For Aashish K. Bhatt & Associates**  
**Company Secretary in Practice**

**Aashish  
Kamlesh  
Bhatt**

Digitally signed by Aashish  
Kamlesh Bhatt  
DN: cn=Aashish Kamlesh Bhatt,  
c=IN, st=Maharashtra,  
o=Personal,  
serialNumber=39fd302f1884d1a  
64e95a909d95aba381dedcb61f  
3b188b61367aacadba99a56c  
Date: 2023.04.24 15:17:26  
+05'30'

**Aashish Bhatt**

**M. No. 19639, C.P. No. 7023**

**UDIN: A019639E000177808**



**Place: Mumbai**

**Date : 24<sup>th</sup> April, 2023**

**D / 101, Lata Annexe, Above Axis Bank, W. E. Highway, Borivali (East), Mumbai - 400 066.**

**Mob. : 98671 51081, 80979 85754 • Telefax : 022 2846 1715.**

**Email : mail@aashishbhatt.in • W. : www.aashishbhatt.in**