

V.RAJVIRDHAN

19.05.2020

The Compliance Officer L.G. Balakrishnan & Bros Limited 6/16/13, Krishnarayapuram Road, Ganapathy Post Coimbatore – 641 006	The National Stock Exchange of India Limited “Exchange Plaza” Bandra Kurla Complex Bandra (E) Mumbai – 400 051	Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers, Dalal Street, MUMBAI - 400 001
	Scrip Code: LGBBROSLTD	Scrip Code: 500250

Dear Sir,

Sub: **Submission of Form C of SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2)] and Disclosure under Regulation 29(2) read with 29(3)(a), 31(1) & 31(2) of Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

Please find enclosed herewith the disclosure as required under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and Regulation 29(2), 31(1) & 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 disclosed in the prescribed form are enclosed herewith.

Kindly take the same on record.

Thanking you,

Yours Faithfully,


V.RAJVIRDHAN

No.18, G.D. Street, Race Course, Coimbatore – 641 018

Format for disclosure by the Promoter(s) to the stock exchanges and to the Target Company for encumbrance of shares / invocation of encumbrance/ release of encumbrance, in terms of Regulation 31(1) and 31(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Name of the Target Company(TC)	L.G.Balakrishnan & Bros Limited
Names of the Stock Exchanges where the shares of the target company are listed	BSE Limited The National Stock Exchange Of India Limited
Date of reporting	19.05.2020 (Delay due to National vide Lockdown)
Name of the promoter or PAC on whose shares encumbrance has been created /released/ invoked	V.Rajvirdhan
Details of the creation/invocation/release of encumbrance:	

Name of the promoter (s) or PACs with him(**)	Promoter holding in the target company (1)			Promoter holding already encumbered (2)			Details of events pertaining to encumbrance (3)							Post event holding of encumbered shares {creation [(2)+(3)] / release [(2)-(3)] / invocation [(2)-(3)]}	
	No. of shares	% of total share capital	% of diluted share capital(*)	No. of shares	% of total share capital	% of diluted share capital(*)	Type of event (creation / release / invocation)	Date of creation / invocation / release / encumbrance	Type of encumbrance (pledge/ lien/ non **)	Reason for encumbrance	No. of shares	% of total share capital	Name of the entity in whose favor shares are encumbered	Number	% of total share capital
V.Rajvirdhan	4059203	12.93	-	2500000	7.96	-	invocation	24.03.2020 & 25.03.2020	Pledge	Invocation of Shares by Mortgagee	48831	0.16	Bajaj Finance Limited	2451169	7.81
V.Rajvirdhan	4010372	12.77	-	2451169	7.81	-	Pledge	30.03.2020	Pledge	Pledge Creation – on shortfall of security for the existing loans due to fall in share price	820000	2.61	Bajaj Finance Limited	3271169	10.42

(*) The names of all the promoters, their shareholding in the target company and their pledged shareholding as on the reporting date should appear in the table irrespective of whether they are reporting on the date of event or not.

(**) For example, for the purpose of collateral for loans taken by the Company, personal borrowing, third party pledge, etc.

(***) This would include name of both the lender and the trustee who may hold shares directly or on behalf of the lender.

V.RAJVIRDHAN

Date: 19.05.2020

FORM C

Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7(2) read with Regulation 6(2) –Continual disclosure]

Name of the company: **L.G.Balakrishnan & Bros Limited**

ISIN of the Company: **INE337A01034**

Details of change in holding of Securities of Promoter, Employee or Director of a Listed Company and other such persons as mentioned in Regulation 6(2).

Name, PAN No., CIN/DIN, & address Of Promoter/ Employee / Director with contact nos.	Category of Person (Promoter / Rs / KMP / Directors/ immediate relatives/ others etc.)	Securities held prior to acquisition /disposal		Securities Acquired / Disposed				Securities held post acquisition / disposal		Date of allotment Advice / acquisition of shares / sale of shares specify		Date of intimation to Company	Mode of acquisition/ disposal (on market / public / rights / preferential offer / off market/ Inter-se transfer ESOPs etc.)
		Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and % of share holding	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No.	Value	Transaction Type (Buy / Sale / Pledge / Revoke / Invoke)	Type of security (For eg. – Shares, Warrants, Convertible Debentures etc.)	No. and % of share holding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
V.Rajvirdhan No.18, G.D.Street, Race course Coimbatore – 641 018 PAN- [REDACTED] Contact No.0422 – 2532325	Promoter	Equity Shares	4069203 12.96%	Equity	10000 0.03%	Rs.15,07,653.35/-	Sale	Equity Shares	4059203 12.93%	24.03.2020	24.03.2020	18.05.2020	Market Sale
		Equity Shares	4059203 12.93%	Equity	48831 0.16%	Rs.75,77,083.25/-	Invocation	Equity Shares	4010372 12.77%	24.03.2020	25.03.2020	Delay due to National vide lockdown	Invocation of Shares by Mortgagee*
		Equity Shares	4010372 12.77%	Equity	820000 2.61%	Rs.12,47,22,000/- **	Pledge	Equity Shares	4010372 12.77%	30.03.2020	30.03.2020		Creation of Pledge @

Note: "Securities shall have the meaning as defined under regulation 2(1) (i) of SEBI (Prohibition of Insider Trading) Regulations, 2015

* Out of 2500000 Shares already pledged 48831 equity shares was invoked by the Mortgagee.

@ Out of 4010372 Equity Shares 2451169 Equity Shares already pledged. Now 820000 Equity Shares pledged on short fall of security for the existing loans due to fall on share price.

** Market value of the 820000 Equity Shares is arrived by taking into the account closing price of Shares at Rs.152.10 on NSE as on 30.03.2020.



Details of Trading in derivatives of the Company by Promoter, Employee or Director of a Listed Company and other such persons as mentioned in Regulation 6(2)

Trading in derivatives (Specify type of contract, futures or Options etc)						Exchange on which the trade was executed
Type of contract	Contract Specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
15	16	17	18	19	20	21
NA	NA	NA	NA	NA	NA	NA

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options

Signature: _____

Name: V.Rajvirdhan

Designation: Promoter

Date: 19.05.2020

Place: Coimbatore

FORMAT FOR DISCLOSURES UNDER REGULATION 29(2) OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011

Name of the Target Company (TC)	L.G. BALAKRISHNAN & BROS LIMITED		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	V.RAJVIRDHAN		
Whether the acquirer belongs to Promoter/Promoter group	Yes		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	The Bombay Stock Exchange Limited The National Stock Exchange of India Limited		
Details of the acquisition / disposal/ as follows.	Number	% w.r.t. total share/voting capital wherever applicable	% w.r.t. total diluted share/voting capital of the TC (*)
<u>Before the acquisition under consideration, holding of :</u>			
a) Shares carrying voting rights	40,69,203	12.96%	12.96%
b) Shares in the nature of encumbrance (pledge / lien / non-disposal undertaking / others)	-	-	-
c) Voting rights (VR) otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	-	-	-
(e) Total (a+b+c+d)	40,69,203	12.96%	12.96%
<u>Details of acquisition/sale</u>			
a) Shares carrying voting rights acquired / sold	10,000	0.03%	0.03%
b) VRs acquired/sold otherwise than by equity shares	-	-	-
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	-	-	-
d) Shares encumbered / invoked/released by the acquirer	-	-	-
(e) Total (a+b+c+d)	10,000	0.03%	0.03%

After the acquisition/sale, holding of:			
a) Shares carrying voting rights	40,59,203	12.93%	12.93%
b) Shares encumbered with the acquirer	-	-	-
c) VRs otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	-	-	-
(e) Total (a+b+c+d)	40,59,203	12.93%	12.93%
Mode of acquisition/sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc.)	Open Market (Sale)		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	24.03.2020 (Delay due to National wide Lockdown)		
Equity share capital / total voting capital of the TC before the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Equity share capital/ total voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Total diluted share/voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		

Note:

(*) Total share capital / voting capital to be taken as per the latest filing done by the Company to the Stock Exchange under Clause 35 of the listing Agreement.

(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.


Signature of the acquirer / Authorised Signatory

Place: Coimbatore

Date: 19.05.2020

FORMAT FOR DISCLOSURES UNDER REGULATION 29(2) OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011

Name of the Target Company (TC)	L.G. BALAKRISHNAN & BROS LIMITED		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	V.RAJVIRDHAN		
Whether the acquirer belongs to Promoter/Promoter group	Yes		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	The Bombay Stock Exchange Limited The National Stock Exchange of India Limited		
Details of the acquisition / disposal/ as follows.	Number	% w.r.t. total share/voting capital wherever applicable	% w.r.t. total diluted share/voting capital of the TC (*)
<u>Before the acquisition under consideration, holding of :</u>			
a) Shares carrying voting rights	-	-	-
b) Shares in the nature of encumbrance (pledge / lien / non-disposal undertaking / others)	40,59,203	12.93	12.93
c) Voting rights (VR) otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	-	-	-
(e) Total (a+b+c+d)	40,59,203	12.93%	12.93%
<u>Details of acquisition/sale</u>			
a) Shares carrying voting rights acquired / sold	-	-	-
b) VRs acquired/sold otherwise than by equity shares	-	-	-
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	-	-	-
d) Shares encumbered / invoked/released by the acquirer <i>Mortgaged.</i>	48,831	0.16	0.16
(e) Total (a+b+c+d)	48,831	0.16%	0.16%

After the acquisition/sale, holding of:			
a) Shares carrying voting rights	40,10,372	12.77	12.77
b) Shares encumbered with the acquirer	-	-	-
c) VRs otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	-	-	-
(e) Total (a+b+c+d)	40,10,372	12.77%	12.77%
Mode of acquisition/sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc.)	Invocation of Equity Shares by Mortgagee		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	Invocation of Equity Shares by Mortgagee Dated : 24.03.2020 & 25.03.2020 (Delay due to National wide Lockdown)		
Equity share capital / total voting capital of the TC before the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Equity share capital/ total voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Total diluted share/voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		

Note:

(*) Total share capital / voting capital to be taken as per the latest filing done by the Company to the Stock Exchange under Clause 35 of the listing Agreement.

(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.



Signature of the acquirer / Authorised Signatory

Place: Coimbatore

Date: 19.05.2020

FORMAT FOR DISCLOSURES UNDER REGULATION 29(2) OF SEBI (SUBSTANTIAL ACQUISITION OF SHARES AND TAKEOVERS) REGULATIONS, 2011

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Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	V.RAJVIRDHAN		
Whether the acquirer belongs to Promoter/Promoter group	Yes		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	The Bombay Stock Exchange Limited The National Stock Exchange of India Limited		
Details of the acquisition / disposal/ as follows.	Number	% w.r.t. total share/voting capital wherever applicable	% w.r.t. total diluted share/voting capital of the TC (*)
<u>Before the acquisition under consideration, holding of :</u>			
a) Shares carrying voting rights	-	-	-
b) Shares in the nature of encumbrance (pledge / lien / non-disposal undertaking / others)	40,10,372	12.77	12.77
c) Voting rights (VR) otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category)	-	-	-
(e) Total (a+b+c+d)	40,10,372	12.77%	12.77%
<u>Details of acquisition/sale</u>			
a) Shares carrying voting rights acquired / sold	-	-	-
b) VRs acquired/sold otherwise than by equity shares	-	-	-
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold	-	-	-
d) Shares encumbered / invoked/released by the acquirer	8,20,000	2.61	2.61
(e) Total (a+b+c+d)	8,20,000	2.61%	2.61%

<u>After the acquisition/sale, holding of:</u>			
a) Shares carrying voting rights	-	-	-
b) Shares encumbered with the acquirer	32,71,169	10.42	10.42
c) VRs otherwise than by equity shares	-	-	-
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition	-	-	-
(e) Total (a+b+c+d)	32,71,169	10.42%	10.42%
Mode of acquisition/sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc-)	Pledge of Equity Shares		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	Pledge Date : 30.03.2020 (Delay due to National wide Lockdown)		
Equity share capital / total voting capital of the TC before the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Equity share capital/ total voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		
Total diluted share/voting capital of the TC after the said acquisition/sale	Rs.31,39,24,160/- (i.e.3,13,92,416 Equity Shares of Rs.10/- each)		

Note:

(*) Total share capital / voting capital to be taken as per the latest filing done by the Company to the Stock Exchange under Clause 35 of the listing Agreement.

(**) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

Signature of the acquirer / Authorised Signatory

Place: Coimbatore

Date: 19.05.2020